



## SPECIATION IN THE EMERALD TOUCANET (*AULACORHYNCHUS PRASINUS*) COMPLEX

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**ABSTRACT.**—We analyzed genetic variation in the Emerald Toucanet (*Aulacorhynchus prasinus*), a species complex that ranges primarily along the montane forests of southern and eastern Mexico south to Bolivia. Segments of three mitochondrial DNA genes (cytochrome *b*, ND2, and ND3) were sequenced for a total of 1,159 base pairs. Using maximum parsimony, maximum likelihood, and Bayesian analysis, we found a set of seven differentiated populations that correspond to clear geographic breaks throughout the highlands of the Neotropics. These genetically distinct populations also correspond with the geographic breaks found in previous analyses of morphological data. Molecular evidence suggests species treatment for four of the Central American clades and three South American clades. Received 19 June 2006, accepted 28 January 2007.

**Key words:** *Aulacorhynchus prasinus*, biogeography, cloud forest, cytochrome *b*, Emerald Toucanet, molecular phylogeny, ND2, ND3, species limits.

### Especiación en el Complejo de *Aulacorhynchus prasinus*

**RESUMEN.**—Se analizó la variación genética de *Aulacorhynchus prasinus* dentro de su área de distribución geográfica en México, Centro y Sudamérica. Segmentos de tres genes mitocondriales (citocromo *b*, ND2 y ND3) fueron secuenciados para un total de 1159 pares de bases, los cuales mediante máxima parsimonia, máxima verosimilitud y análisis Bayesianos revelaron siete poblaciones diferenciadas genéticamente que se segregan de acuerdo a claros rompimientos geográficos. Las poblaciones diferenciadas corresponden, en parte, con las especies sugeridas con base en datos morfológicos en estudios previos. La evidencia molecular sugiere estatus de especie para cuatro de los clados identificados para México y Centroamérica y para tres de Sudamérica.

TOUCANS, TOUCANETS, AND araçarís (Piciformes: Ramphastidae) are among the most striking of Neotropical birds, owing to their large and brightly colored bills and bizarre plumage patterns. Such morphological variation is often associated with geographic clines or restricted to specific areas. As such, this family has been the subject of a wide array of studies dealing with their diversity (e.g., Short and Horne 2001), ecology (e.g., Riley and Smith 1992), and evolution (e.g., Haffer 1974, Hackett and Lehn 1997, Eberhard and Bermingham 2005).

The “green” toucanets in the genus *Aulacorhynchus* are almost completely restricted to Neotropical humid montane forests from southern and eastern Mexico south to Bolivia. Currently, they are placed in six to seven highly polytypic species (Haffer 1974, Sibley

and Monroe 1990). *Aulacorhynchus* spp. show discrete variation in coloration and size, and several populations isolated on single mountain ranges are surprisingly distinct. However, systematic study of this genus has been slowed by the paucity of specimens throughout its range (Navarro-Sigüenza et al. 2001) and the lack of adequate series from any single site. Moreover, most species and subspecies were described in the 1800s (see Dickinson 2003) from a single or few specimens, and interrelationships among forms and their taxonomic status are often not clear (e.g., Barker and Lanyon 2000, Eberhard and Bermingham 2005).

The variation within this genus is complex, because it shows, on one hand, morphological similarity (smaller size, long and graduated tail, green overall) but, on the other hand, dramatic variation

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in color patterns and bill shape (Haffer 1974, Navarro-Sigüenza et al. 2001). Vocalizations are similar among currently recognized species of *Aulacorhynchus* (Schwartz 1972), though most forms are allopatric and replace each other along elevational and latitudinal gradients (Gilbert 2002). Haffer (1974) presented an analysis of morphological characters and biogeography, with emphasis on the South American forms, and, more recently, Navarro-Sigüenza et al. (2001) described morphological variation of *A. prasinus* in Central America, for which they proposed division into four distinct species. *Aulacorhynchus prasinus*, as currently defined, includes 15–16 recognized subspecies, mainly distinguished by patterns of coloration of the throat and bill (Peters 1948, Winker 2000, Short and Horne 2001, Dickinson 2003).

Application of the biological species concept for the treatment of allopatric populations (e.g., Helbig et al. 2002, Remsen 2005) has led to the classic “single polytypic species” approach in the group (American Ornithologists’ Union [AOU] 1998, Short and Horne 2001). However, alternative nomenclatures, which would recognize at least six species, may be more adequate for understanding the taxon from a more consistent evolutionary perspective (Wiley 1981, Navarro-Sigüenza and Peterson 2004).

Molecular characters may provide further insight into evolutionary patterns among these complex taxa for which morphology and vocalizations have not provided definite answers regarding species limits and phylogeny. Such studies are scarce for the toucans (e.g., Hackett and Lehn 1997, Weckstein 2005), and we know of no previous analyses for *Aulacorhynchus*. Our study also enriches knowledge of the diversification of biotas associated with montane forests and the complex array of paleoecological events, including extended isolation (García-Moreno et al. 2004). Here, we analyze the genetic variation and phylogeography of *A. prasinus*. We present sequence data from three mitochondrial genes and use the resulting phylogeny to suggest hypotheses for their evolution and to re-assess the taxonomy and species limits in the group.

## METHODS

**Taxon sampling.**—We sequenced most of the known subspecies of the Emerald Toucanet. Only two Colombian forms (*A. p. lautus* and *A. p. phaeolaemus*) were not included for lack of tissue samples. Our analyses, therefore, are based on tissue samples of 56 individuals that cover the area of distribution of the species (Fig. 1). For outgroup comparisons, we included two individuals of *A. derbianus*, and one individual each of *A. sulcatus*, *A. haematopygus*, and *A. coeruleicinctis* (Table 1). Tissue samples and associated voucher specimens were obtained from field work in Mexico, El Salvador (voucher specimens deposited at Museo de Zoología, Facultad de Ciencias [UNAM], and University of Kansas Natural History Museum [KUNHM]), and Venezuela (voucher specimens deposited at Colección Ornitológica Phelps [COP] and Museo de la Estación Biológica Rancho Grande [EBGR]). Additional samples were obtained from scientific collections (Table 1).

**DNA isolation, amplification, and sequencing.**—DNA was isolated from frozen tissue using a proteinase-K digestion, followed by phenol-chloroform extraction, and final ethanol precipitation (Sambrook et al. 1989). Some old or rare tissue samples were

processed with the DNeasy extraction kit (Qiagen, Valencia, California), following the protocol suggested by the manufacturer. We amplified fragments of the mitochondrial genes ND2 (primers L5215–H5578; Hackett 1996), ND3 (primers L10647–H11151; Chesser 1999, Sorenson et al. 1999), and cytochrome *b* (primers L15560–H16064; Sorenson et al. 1999) (primer position numbers are given in relation to the chicken [*Gallus gallus domesticus*] mitochondrial genome; Desjardins and Morais 1990). Polymerase chain reactions were performed using a three-step program of 30 cycles of 95°C for 1 min, 485°C for 2 min, and 725°C for 3 min, followed by a final extension at 725°C for 10 min. Amplified products were cleaned with GenClean according to instructions and sequenced using dye-labeled terminators (BIGDYE, version 3.1; Applied Biosystems, Foster City, California). Sequencing reaction products were cleaned by gel filtration using Sephadex G50 columns (Sigma Aldrich, St. Louis, Missouri) and resolved on an ABI Prism 310 automated sequencer. Raw chromatograms were edited in CHROMAS, version 1.45 (McCarthy 1998). Final alignments were performed using CLUSTAL X (Thompson et al. 1997). All sequences have been deposited in GenBank with the following accession numbers: Cyt *b*: (EU285671–EU285731), ND2: (EU285732–EU285792), ND3: (EU285793–EU285850).

**Population genetics descriptors.**—To evaluate genetic variability within and between populations, we estimated gene flow ( $Nm$ ) and fixation indices ( $F_{st}$ ) (Wright 1951, 1965).  $Nm$  represents an estimate of the absolute numbers of migrants exchanged between two haploid populations (Nei 1987) and is computed from pairwise  $F_{st}$  values, whereas  $F_{st}$  examines overall levels of genetic divergence among subpopulations.  $F_{st}$  has a theoretical minimum of 0 (no genetic divergence) and a theoretical maximum of 1 (fixation for alternative alleles in different subpopulations). The range 0–0.05 may be considered to indicate little genetic differentiation, 0.05–0.15 moderate differentiation, 0.15–0.25 strong differentiation, and >0.25 very strong differentiation (Hartl and Clark 1997).

**Phylogenetic reconstruction.**—Congruence of phylogenetic signal among genes was tested with the incongruence length difference test (Farris et al. 1994, 1995), implemented in PAUP\*, version 4.0b10 (Swofford 2000), as the partition homogeneity test; the test excluded constant characters and ran for 1,000 repetitions. Evolutionary-rate heterogeneity across lineages was tested using a likelihood ratio test (Felsenstein 1981). Significance was assessed by comparing  $\Lambda = -2\log LR$ , where LR is the difference between the  $-\ln$  likelihood of the tree with and without enforcing a molecular clock, with a chi-square distribution ( $n - 2$  degrees of freedom, where  $n$  is the number of taxa). Statistical significance of departures from homogeneity in base frequencies among lineages was assessed with a chi-square test.

Phylogenetic analyses were conducted using maximum parsimony (MP), maximum likelihood (ML), and Bayesian analyses (BA). To optimize computational time, only unique haplotypes were used for estimating MP and ML trees using PAUP\*; identical haplotypes were collapsed using TCS (Clement et al. 2000). Parsimony analyses were conducted in PAUP\* for each gene individually (cytochrome *b*, ND2, and ND3), as well as for the combined mitochondrial data set. We obtained MP trees through heuristic searches (1,000 stepwise random additions, TBR branch-swapping) and estimated clade support via 1,000 bootstrap pseudoreplicates (Felsenstein 1985) with the same search options.

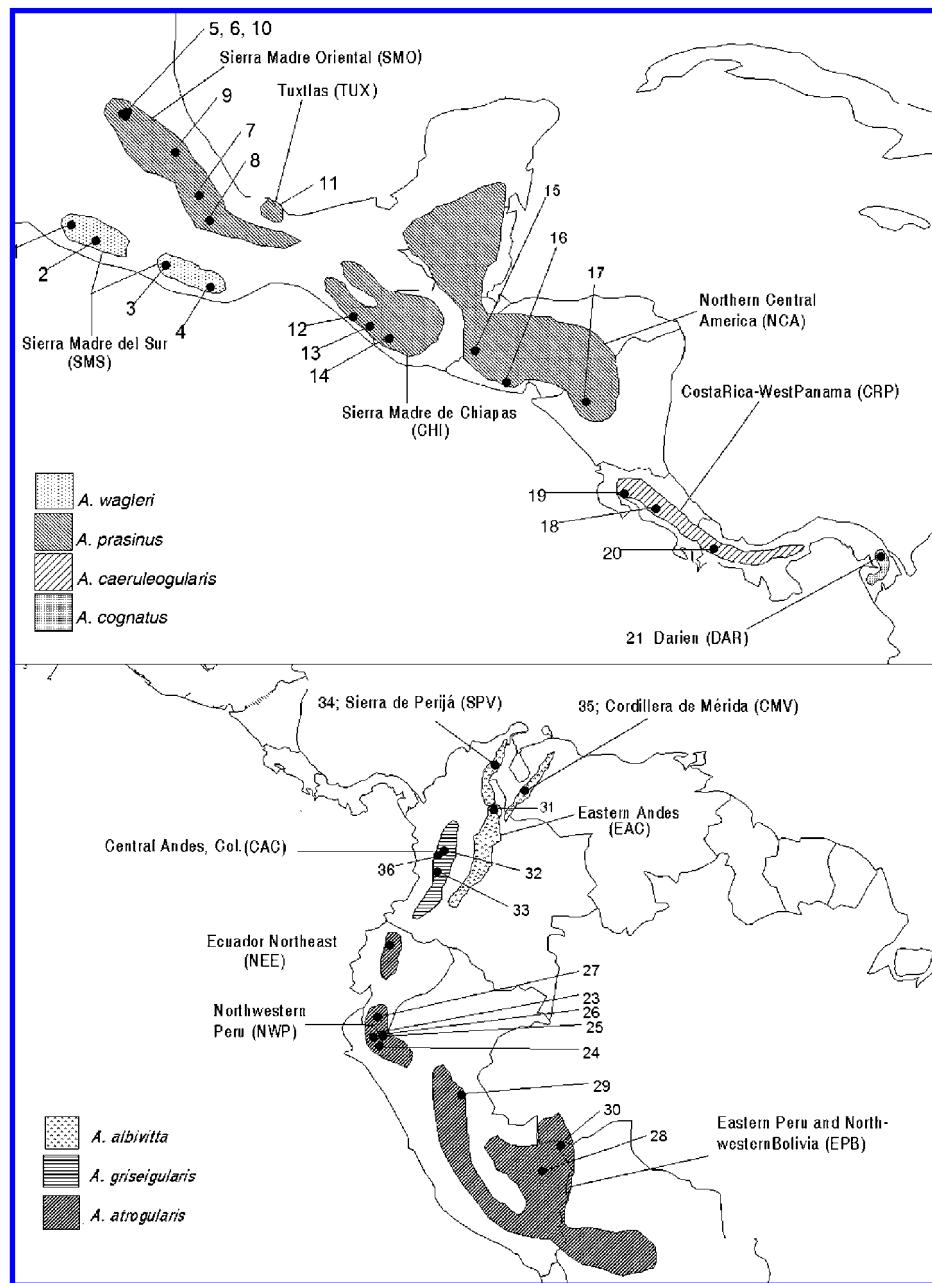


FIG. 1. Distribution of *Aulacorhynchus prasinus* complex in Mesoamerica (top) and South America (bottom). Black dots indicate localities where tissue samples were collected. Numbers refer to localities listed in Table 1. The stippled patterns represent the ranges of species recognized in the present study.

Prior to ML and BA analyses, best-fit models of molecular evolution for the individual genes and the combined data set were selected using MODELTEST, version 3.7 (Posada and Crandall 2001). The ML tree was obtained in PAUP\* using 100 random additions, and clade support was assessed via 100 bootstrap pseudoreplicates (100 random additions each), with an initial tree generated by neighbor joining.

We performed BA in MR BAYES, version 3.1 (Ronquist and Huelsenbeck 2003), implementing a partition by gene (cytochrome

*b*, ND2, and ND3) and assigning each partition its best-fit model of evolution. All parameters were unlinked between partitions, except topology and branch lengths. Analyses consisted of two runs of  $2.5 \times 10^6$  generations and four Markov chains (one cold chain, three heated chains; temperature set to  $0.205^\circ\text{C}$ ), with trees sampled every 1,000 generations. From the 2,500 resulting trees, the first 500 were discarded as "burn-in," and the rest were used to calculate posterior probabilities in a 50% majority-rule consensus tree. Stationarity was confirmed by plotting  $-\ln L$  per generation.

TABLE 1. Collection localities and museum catalogue number for specimens from which tissue samples were used in the genetic analysis. See Figure 1 for localities.

Locality no.	Haplotype	Locality	Subspecies	Museum and catalogue number	Abbreviation in Fig. 2
1	A	Mexico, Guerrero, Carrizal de Bravo	<i>wagleri</i>	MZFC CAON 78	MexGuerrero1
2	A	Mexico, Guerrero, Sierra de Petatlán	<i>wagleri</i>	MZFC CAON147, 149	MexGuerrero2–3
3	B	Mexico, Oaxaca, Putla, Sta Ana del Progreso	<i>wagleri</i>	MZFC OMVP 697, 705, 708	MexSouthwestOax1–3
4	C, D	Mexico, Oaxaca, Miahuatlán, Pluma Hidalgo	<i>wagleri</i>	MZFCONA 205–206	MexSoutheastOax1–2
5	E	Mexico, Hidalgo, Chalpuhuacan, Arroyo Blanco	<i>prasinus</i>	MZFCBMM 898–899	MexHidalgo1–2
6	E	Mexico, Hidalgo, Pisaflores, El Coyol	<i>prasinus</i>	MZFC H-SLP139	MexHidalgo3
7	E	Mexico, Veracruz, Córdoba, Naranja	<i>prasinus</i>	MZFC NAR 29	MexVeracruz
8	E	Mexico, Oaxaca, Teotitlán, San Martín Caballero	<i>prasinus</i>	MZFC OMVP 1071	MexNorthOaxaca
9	E	Mexico, Puebla, Jonotla	<i>prasinus</i>	MZFC PUE 154	MexPuebla
10	E	Mexico, Querétaro, Landa de Matamoros	<i>prasinus</i>	MZFC QRO 324	MexQuerétaro
11	F	Mexico, Veracruz, Sontecomapan, Sierra Sta Marta	<i>warneri</i>	MZFCF TUXO1-03	MexTux1–3
12	G	Mexico, Chiapas, El Triunfo	<i>chiapensis</i>	INECOL 01	MexChiapas1
13	G	Mexico, Unión Juárez, Volcán Tacaná	<i>chiapensis</i>	MZFC BMM 803	MexChiapas2
14	G	Guatemala, Quetzaltenango, Sta Maria de Jesús	<i>chiapensis</i>	DHB4450	GuatQuetzal
15	H	El Salvador, Cacahuatique	<i>stenorhabdus</i>	MZFC EAGT 38	ElSalNorth
16	I	El Salvador, Volcán San Miguel	<i>volcanius</i>	MZFCEUE01-02	ElSalVolSanMig1–2
17	J	Nicaragua, Matagalpa	<i>virescens</i>	DAB1273, 13140, 1367, 1368	Nicaragua1-4
18	K	Costa Rica, Cartago, Muñeco	<i>maxillaris</i>	UCR1211	CostaRica1
19	K	Costa Rica, Monteverde, Puntarenas	<i>maxillaris</i>	UCR3965, UCRnone	CostaRica2–3
20	L	Panamá, Chiriquí, Gualaca, Lago Fortuna	<i>caeruleogularis</i>	LSUMZ-26464, 26403	PanamaWest1–2
21	M	Panamá, Darién, Cerro Pirre	<i>cognatus</i>	LSUMZ-1373	Darien
22	N	Ecuador, Napo, El Chaco, Mirador	<i>albivitta</i>	ANSP4837, 4799	Ecuador, Northeast1–2
23	O	Peru, Cajamarca, Machete on Sapalache	<i>cyanolaemus</i>	LSUMZ-213	PeruWest1
24	O	Peru, Cajamarca, Quebrada Las Palmas, Chontalli	<i>cyanolaemus</i>	LSUMZ-32663, 32676, 32829	PeruWest2–4
25	O	Peru, Cajamarca, San José de Lourdes	<i>cyanolaemus</i>	LSUMZ-33050, 33052	PeruWest5–6
26	O	Peru, Cajamarca, Cordillera del Cóndor, Picorana	<i>cyanolaemus</i>	LSUMZ-33837, 33865	PeruWest7–8
27	O	Ecuador, Loja	<i>cyanolaemus</i>	ZMUC 115022	EcuadorSouthwest
28	P	Peru, Madre de Dios, Colpa Guacamayos, Río Tambopata	<i>atrogularis</i>	LSUMZ-21201	PeruSoutheast
29	P	Peru, Ucayali, Río Shesha, Pucalpa	<i>atrogularis</i>	LSUMZ-10742	PeruNortheast
30	P	Bolivia, Pando, Nicolaz Suárez, Mucden	<i>dimidiatus</i>	LSUMZ-9661	Bolivia, Northwest
31	V	Colombia, Carrizal, Cucutilla, Norte de Santander	<i>albivitta</i>	IAvH-CT 1752	ColEasternAndes
32	Q	Colombia, Caldas, El Laurel, Aranzazu	<i>griseigularis</i>	IAvH-CT 1696	ColCentralAndes1
33	S	Colombia, Valle del Cauca, Chicoral, La Cumbre	<i>griseigularis</i>	IAvH-CT 2611	ColCentralAndes2
34	T	Venezuela, Zulia, Sierra de las Lajas, Serranía de Perijá	<i>albivitta</i>	COP81127, 81128	VenSierraPerijá1, 2
34	U	Venezuela, Zulia, Sierra de las Lajas, Serranía de Perijá	<i>albivitta</i>	COP81129	VenSierraPerijá3
35	V	Venezuela, Mérida, La Mucuy	<i>albivitta</i>	KUNHM EB12	VenCordilleraMérida
36	R	Colombia, Risaralda, Pueblo Rico, La Cumbre	<i>griseigularis</i>	IAvH-CT 4003	ColCentralAndes3
		Venezuela, Aragua, Rancho Grande	<i>A. sulcatus</i>	EBRG 12237	Outgroup
		Ecuador, El Oro, Machalilla, Cerro San Sebastián	<i>A. haematopygus</i>	ANSP 2912	Outgroup
		Peru, Pasco, Santa Cruz, ~9 km SSE Oxapampa	<i>A. coeruleinctis</i>	LSU 1616	Outgroup
		Guyana	<i>A. derbianus</i>	ANSP3964, 4080	Outgroup

Acronyms: ANSP = Academy of Natural Sciences, Philadelphia; EBRG = Museo Estación Biológica de Rancho Grande, Venezuela; KUNHM = Natural History Museum, University of Kansas; COP = Colección Ornitológica Phelps, Caracas, Venezuela; LSUMZ = Museum of Natural Science, Louisiana State University; MZFC = Museo de Zoología, Facultad de Ciencias, Universidad Nacional Autónoma de México; UCR = Universidad de Costa Rica; ZMUC = Zoological Museum of the University of Copenhagen; DAB and DHB = Barrick Museum, University of Nevada, Las Vegas; IAvH = Instituto Alexander von Humboldt, Colombia; and INECOL = Instituto de Ecología, Jalapa, Veracruz, México.

## RESULTS

Genetic distances among species of *Aulacorhynchus* used as outgroups varied 6.7–11.4%, whereas ingroup populations were 10.1–12.7% divergent from outgroups (Table 2). For the complete mitochondrial data set, MODELTEST selected the GTR +  $\Gamma$  model (for cytochrome *b*, the TIM +  $\Gamma$ ; for ND2, the TVM + I; and for ND3, the HKY +  $\Gamma$  model). Our final data matrix included 61 sequences (1,159 base pairs [bp]), of which 26 represented unique haplotypes (22 haplotypes for Emerald Toucanet and 4 from outgroups; Table 2). Informative sites were distributed among genes as follows: 92 for cytochrome *b* (444 bp), 64 for ND2 (363 bp), and 55 for ND3 (352 bp).

Maximum-parsimony analyses for the three genes produced trees that were largely congruent; the few nodes that differed between genes were generally not well supported (bootstrap values <50%). Also, the results of the partition homogeneity test were not significant ( $P = 0.95$ ). Hence, because we found no sign of phylogenetic incongruence, we were confident of the appropriateness of conducting further analyses using a combined data set. We found no evidence of heterogeneity in base frequencies among lineages for the combined data set (chi-square test,  $P > 0.05$ ), and empirical base frequencies were relatively similar to those estimated by MODELTEST under the GTR +  $\Gamma$  model.

The combined data set produced two most parsimonious trees (510 steps; consistency index [CI] = 0.6824, retention index [RI] = 0.8318, rescaled consistency index [RC] = 0.5676), which differed only in the position of one haplotype in a clade that groups all samples from the Sierra Madre Oriental (not shown). Maximum-likelihood analysis recovered a single tree ( $-\ln L = 4,123.1724$ ; GTR +  $\Gamma$  model; base frequencies: A = 0.277, C = 0.3744, G = 0.1071, T = 0.2415; substitutions: A–C = 0.5377, A–G = 14.0171, A–T = 0.7333, C–G = 1.8386, C–T = 9.8144, G–T = 1; shape parameter = 0.2229), the topology of which was highly congruent with those of the MP and BA trees. Therefore, we present the BA tree, indicating the level of node support recovered by BA, ML, and MP analyses (Fig. 2). Finally, given that the LR test detected significant rate heterogeneity among lineages (molecular clock rejected;  $\chi^2 = 37.65$ ,  $P = 0.05$ ), divergence times were not calculated among clades.

Our tree (Fig. 2) shows *A. prasinus* populations forming a monophyletic group. Ingroup samples were divided into two main clades with high bootstrap support: a Mesoamerican clade of haplotypes distributed in Mexico and Central America, and a second clade with haplotypes distributed in South America; between these clades, the average genetic distance was 7.03% (Table 2),  $F_{st} = 0.57$ , and  $Nm = 0.18$  (Table 3).

The South American clade was divided into two sister groups. The first group (Venezuelan group [VEN]) includes samples from Sierra de Perijá (T and U haplotypes) and Cordillera de Mérida in Venezuela (haplotype V), and a sample from the eastern Andes of Colombia (EAC; also haplotype V). The second group includes samples from the eastern Andes of Peru and northwestern Bolivia (EPB; P haplotype), the Andes of northeastern Ecuador (NEE; O haplotype) and northwestern Peru (NWP; O haplotype), and the Central Andes of Colombia (CAC; Q, S, and R haplotypes). Average genetic distance among these groups was 5.16%, which indicates strong genetic differentiation and null gene flow (Tables 2 and 3).

The genetic distance among the samples from Sierra de Perijá and Cordillera de Mérida was 0.4%. Within the second group, the clade from the Central Andes of Colombia was sister to the rest of the Andean samples, and among them the average genetic distance was 1.57% (Table 2).

In Mesoamerica, we recovered four main clades. The first includes the M haplotype from Darien, eastern Panama (DAR). This clade was sister to the other Mesoamerican populations, with an average genetic divergence of 6.13% between them (Table 2), with  $F_{st} = 0.52$  and  $Nm = 0.23$  (Table 3). The second clade includes samples from Chiriquí and Veraguas in western Panama (L haplotype) and from the Cordillera Volcánica of Costa Rica (CRP; K haplotype). Relatively low levels of mtDNA divergence (0.09%; Table 2) were observed between these two regions, which suggests a lack of isolation between the two. This Costa Rica–Panama clade is sister to the northern Central America and Mexican populations, with an average genetic divergence of 5.36%, which indicates strong genetic differentiation and null gene flow (Tables 2 and 3).

The northern Central American and Mexican populations were divided into two main clades. The first includes samples from El Salvador (H and I haplotypes) and Nicaragua (J haplotype) (here called the northern Central America population [NCA]), and southern and eastern Mexico (Tuxtlas [TUX], F haplotype; Sierra Madre Oriental [SMO], E haplotype; and Sierra Madre del Sur de Chiapas [CHI], G haplotype). The last clade includes samples from the Sierra Madre del Sur (SMS; Guerrero and southern Oaxaca; A, B, C, and D haplotypes), this being the sister group of the rest of the Mexican populations, with an average genetic divergence of 3.68% from them, strong genetic differentiation, and null gene flow. An average genetic divergence of only 1.0% was found within the Sierra Madre del Sur clade (Tables 2 and 3).

In sum, we identified clear genetic subgroups within *A. prasinus*, which are distributed in a long, slender chain through the montane Neotropics. Genetic differentiation ( $F_{st}$ ) among adjacent pairs of these subgroups, and among sister subgroups as defined by the phylogenetic results, were very high; they varied between 0.49 and 1.00 (Table 3). This result indicates strong genetic divergence among populations (Hartl and Clark 1997), as well as low or null gene flow among them, which suggests a long history of isolation and high genetic differentiation (Table 3).

## DISCUSSION

*Phylogeny.*—Previous analyses have stressed the dramatic morphological variation among isolated populations of the Emerald Toucanet (*A. "prasinus" sensu lato*) throughout its range (Peters 1948, Wetmore 1968, Haffer 1974, O'Neill and Gardner 1974, Winker 2000). Careful analyses of morphology and coloration have also led to the proposal that the group is, in fact, composed of several species (Navarro-Sigüenza et al. 2001). However, given that no genetic data were available at that time, that proposal was largely ignored (Remsen 2005).

First, we consider the well-supported monophyly of the populations included in *A. "prasinus"* (e.g., AOU 1998), in spite of its considerable morphological variation (Haffer 1974, Short and Horne 2001, Gilbert 2002). Ongoing phylogenetic analyses that

TABLE 2. Uncorrected percentage of sequence divergence between haplotypes. Values &gt;5% are in bold. See Table 1 and Figures 1 and 2 for more details on collecting localities.

Haplotype	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	
1 E MexHidalgo1																										
2 F MexTux1	0.6																									
3 G MexChiapas1	1.3	0.7																								
4 B MexSouthwestOax1	3.7	3.7	3.6																							
5 C MexSoutheastOax1	3.9	3.9	3.7	1.1																						
6 D MexSoutheastOax2	4	4	3.9	1.3	0.6																					
7 A MexGuerrero1	2.9	2.9	2.7	0.9	1	1.1																				
8 J Nicaragua1	0.7	0.1	0.9	3.9	4	4.1	3																			
9 H ElSalNorth	0.7	0.1	0.9	3.9	4	4.1	3	0.09																		
10 I El SalVolSanMig1	0.9	0.3	1	4	4.1	4.3	3.1	0.1	0.1																	
11 K CostalRica1	<b>5.1</b>	<b>5.1</b>	<b>5</b>	4.3	<b>4.9</b>	<b>5</b>	4	<b>5.3</b>	<b>5.3</b>	<b>5.4</b>																
12 L PanamaWest1	<b>5.1</b>	<b>5.1</b>	<b>5</b>	4.3	4.9	<b>5</b>	4	<b>5.3</b>	<b>5.3</b>	<b>5.4</b>	0.09															
13 M Darien	<b>6.1</b>	<b>6.1</b>	<b>6.3</b>	<b>6.3</b>	<b>6.6</b>	<b>6.7</b>	<b>6</b>	<b>6</b>	<b>6</b>	<b>6.1</b>	<b>5.7</b>	<b>5.7</b>														
14 Q ColCentralAndes1	<b>7.4</b>	<b>6.9</b>	<b>7</b>	<b>7.1</b>	<b>7.7</b>	<b>7.9</b>	<b>6.9</b>	<b>6.7</b>	<b>6.7</b>	<b>6.9</b>	<b>6.1</b>	<b>6.1</b>	<b>6.6</b>													
15 R ColEasternAndes	<b>7.9</b>	<b>7.3</b>	<b>7.4</b>	<b>7.6</b>	<b>8.1</b>	<b>8.3</b>	<b>7.3</b>	<b>7.1</b>	<b>7.1</b>	<b>7.3</b>	<b>6.6</b>	<b>6.6</b>	<b>7</b>	0.4												
16 S ColCentralAndes2	<b>8.1</b>	<b>7.6</b>	<b>7.7</b>	<b>7.9</b>	<b>8.4</b>	<b>8.6</b>	<b>7.6</b>	<b>7.4</b>	<b>7.4</b>	<b>7.6</b>	<b>6.9</b>	<b>6.9</b>	<b>7.3</b>	0.7	0.3											
17 T IC837Apra	<b>7.9</b>	<b>7.6</b>	<b>7.7</b>	<b>7.3</b>	<b>7.9</b>	<b>8</b>	<b>7</b>	<b>7.7</b>	<b>7.7</b>	<b>7.9</b>	<b>6.9</b>	<b>6.9</b>	<b>6.7</b>	<b>4.9</b>	<b>5</b>	<b>5.3</b>										
18 U IC885Apra	<b>7.9</b>	<b>7.6</b>	<b>7.7</b>	<b>7.3</b>	<b>7.9</b>	<b>8</b>	<b>7</b>	<b>7.7</b>	<b>7.7</b>	<b>7.9</b>	<b>6.9</b>	<b>6.9</b>	<b>6.7</b>	<b>4.9</b>	<b>5</b>	<b>5.3</b>	0.09									
19 V EB12Apra	<b>7.4</b>	<b>7.1</b>	<b>7.3</b>	<b>6.9</b>	<b>7.4</b>	<b>7.6</b>	<b>6.6</b>	<b>7.3</b>	<b>7.3</b>	<b>7.4</b>	<b>6.4</b>	<b>6.4</b>	<b>6.3</b>	<b>4.4</b>	<b>4.9</b>	<b>5.1</b>	0.4	0.4								
20 N EcuadorNortheast	<b>7</b>	<b>6.4</b>	<b>6.6</b>	<b>6.7</b>	<b>7.3</b>	<b>7.4</b>	<b>6.4</b>	<b>6.3</b>	<b>6.3</b>	<b>6.4</b>	<b>6</b>	<b>6</b>	<b>6.4</b>	<b>1.3</b>	<b>1.4</b>	<b>1.7</b>	<b>5.6</b>	<b>5.6</b>	<b>5.1</b>							
21 O PeruWest1	<b>7</b>	<b>6.4</b>	<b>6.6</b>	<b>6.7</b>	<b>7.3</b>	<b>7.4</b>	<b>6.4</b>	<b>6.3</b>	<b>6.3</b>	<b>6.4</b>	<b>6</b>	<b>6</b>	<b>6.4</b>	<b>1.3</b>	<b>1.4</b>	<b>1.7</b>	<b>5.6</b>	<b>5.6</b>	<b>5.1</b>	0.6						
22 P PeruSoutheast	<b>6.7</b>	<b>6.1</b>	<b>6.3</b>	<b>6.4</b>	<b>7</b>	<b>7.1</b>	<b>6.1</b>	<b>6</b>	<b>6</b>	<b>6.1</b>	<b>5.7</b>	<b>5.7</b>	<b>6.1</b>	<b>1.4</b>	<b>1.9</b>	<b>2.1</b>	<b>5.3</b>	<b>5.3</b>	<b>4.9</b>	1	1					
23 A. derbianus1	<b>11</b>	<b>10.9</b>	<b>10.7</b>	<b>10.1</b>	<b>11</b>	<b>11.1</b>	<b>10.1</b>	<b>10.7</b>	<b>10.7</b>	<b>10.9</b>	<b>10.4</b>	<b>10.4</b>	<b>11.1</b>	<b>11.1</b>	<b>11</b>	<b>11.3</b>	<b>11</b>	<b>11</b>	<b>10.9</b>	<b>10.1</b>	<b>10.4</b>	<b>10.1</b>				
24 A. sulcatus	<b>11.7</b>	<b>11.6</b>	<b>11.3</b>	<b>10.3</b>	<b>11.1</b>	<b>11.3</b>	<b>10.6</b>	<b>11.4</b>	<b>11.4</b>	<b>11.6</b>	<b>11.1</b>	<b>11.1</b>	<b>11.6</b>	<b>10.9</b>	<b>10.7</b>	<b>11</b>	<b>11.3</b>	<b>11.3</b>	<b>11.1</b>	<b>10.9</b>	<b>10.9</b>	<b>10.6</b>	<b>6.7</b>			
25 A. haematopygus	<b>12.4</b>	<b>12.3</b>	<b>12.4</b>	<b>11.7</b>	<b>12.6</b>	<b>12.7</b>	<b>11.9</b>	<b>12.1</b>	<b>12.1</b>	<b>12.3</b>	<b>11.6</b>	<b>11.6</b>	<b>12.6</b>	<b>12.4</b>	<b>12.3</b>	<b>12.6</b>	<b>12.6</b>	<b>12.6</b>	<b>12.4</b>	<b>12.4</b>	<b>12.4</b>	<b>12.4</b>	<b>12.1</b>	<b>8.1</b>	<b>7.7</b>	
26 A. coeruleinctis	<b>11.1</b>	<b>11.3</b>	<b>11.1</b>	<b>10.6</b>	<b>11.1</b>	<b>11.3</b>	<b>10.6</b>	<b>11.4</b>	<b>11.4</b>	<b>11.6</b>	<b>11.7</b>	<b>11.7</b>	<b>12.4</b>	<b>11.6</b>	<b>11.7</b>	<b>12</b>	<b>11.3</b>	<b>11.3</b>	<b>11.3</b>	<b>11.6</b>	<b>11.6</b>	<b>10.7</b>	<b>11.3</b>	<b>10.6</b>	<b>11.4</b>	

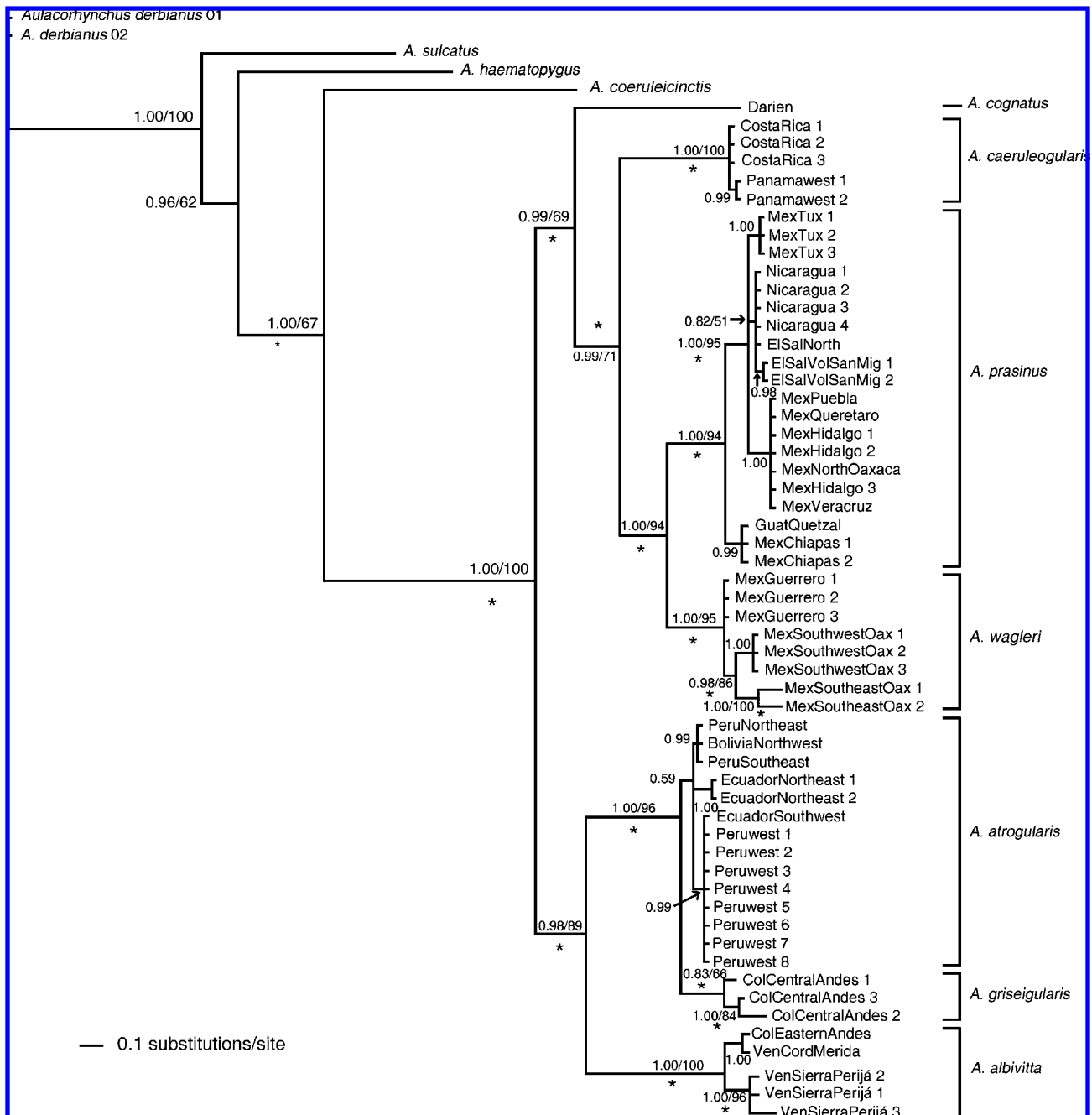


FIG. 2. Bayesian 50% majority-rule consensus tree that resulted from the analysis of cytochrome *b*, ND2, and ND3 combined. Numbers over nodes indicate posterior probability values and maximum-likelihood bootstrap support; numbers below nodes show parsimony bootstrap support; asterisk indicates maximum-parsimony bootstrap support >70%; terminal nodes showing only posterior probability values represent identical haplotypes. Putative phylogenetic species are labeled along the right margin. See Table 1 for current subspecific names assigned to haplotypes in the phylogeny.

include the full set of *Aulacorhynchus* species are in preparation (E. Bonaccorso unpubl. data).

Second, concordant with the morphological variation within *A. "prasinus"* (Navarro-Sigüenza et al. 2001), we found deep divergence values among its subclades, comparable to levels of sequence divergence between other species of *Aulacorhynchus* (e.g.,

*A. derbianus* vs. *A. sulcatus* 6.7%; *A. sulcatus* vs. *A. haematopygus* 7.7%; Table 1). Our study shows high levels of genetic variation among populations of this complex.

The MP, ML, and Bayesian analyses recovered the same major clades and agreed on patterns of relationships among them. The phylogenetic reconstruction showed seven well-differentiated

TABLE 3. Average genetic distance, parameters of genetic differentiation ( $F_{ST}$ ), and gene flow ( $Nm$ ) among sister clades defined by phylogenetic relationships and between some geographically close populations.

	Average genetic distance (%)	$F_{ST}$	$P$	$Nm$
(Meso America)–(South America)	7.02	0.57	0.000	0.18
(EPB–NEE–NWP–CAC) and VEN	5.16	0.91	0.000	0.02
(EPB–NEE–NWP) and CAC	1.57	0.59	0.009	0.17
(NEE–NWP) and EPB	1	0.86	0.003	0.04
(NWP) and (NEE)	0.6	1	0.022	0
(NCA–SMO–TUX–CHI–SMS–CRP) and (DAR)	6.13	0.52	0.000	0.23
(NCA–SMO–TUX–CHI–SMS) and (CRP)	5.36	0.82	0.000	0.05
(NCA–SMO–TUX–CHI) and (SMS)	3.68	0.80	0.000	0.06
(NCA–SMO–TUX) and (CHI)	0.96	0.76	0.000	0.08

Abbreviations refer to groups of samples included in clades in the phylogeny; see text, Table 1, and Figure 1: VEN = Venezuelan group, EPB = Eastern Andes of Peru and northwestern Bolivia, NEE = Andes of northeastern Ecuador, NWP = northwestern Peru, CAC = Central Andes of Colombia, DAR = Darien, CRP = Costa Rica–Western Panama, NCA = northern Central America, TUX = Tuxtlas, SMO = Sierra Madre Oriental, CHI = Sierra Madre del Sur de Chiapas, and SMS = Sierra Madre del Sur.

clades in a hierarchical pattern of relationships. These clades agree with well-defined biogeographic limits across the distribution of the complex; they also agree with limits based on morphological evidence and with patterns for other bird species with similar distributions, at least in Mexico and Central America (e.g., García-Moreno et al. 2004, Solórzano et al. 2004).

The phylogeny recovered a deep separation between the populations of South America and those of Central America and Mexico (Mesoamerica). Such deep splits have been observed for other bird complexes with similar distributions (e.g., Eberhard and Bermingham 2004, 2005). Curiously, this separation does not coincide with the lowland break in central Panama, but occurs in the complex Darien region; our genetic data suggest deep genetic differentiation and no gene flow among northern and southern clades. This is contrary to Haffer (1967), who suggested that the avifauna of Darien was related to that of the Andes, from which it is derived (e.g., *Calliphlox mitchellii*). The Darien populations are isolated by ~200 km of lowlands separating them from the highlands of northwestern Colombia (Porter 1973, Robbins et al. 1985). Also, the montane areas of Darien, western Panama, and northwestern Colombia have different geological histories (Bartlett and Barghoorn 1973), which may have influenced the deep split we observed for the Darien populations, as well as for other taxa discussed by Robbins et al. (1985).

On the other hand, the Costa Rica–Western Panama clade includes the forms recognized as *A. prasinus caeruleogularis* of the regions of Chiriquí and Veraguas in western Panama, and *A. p. maxillaris* of Costa Rica (Peters 1948, Haffer 1974). This clade also shows deep genetic differentiation (5.36%) compared with its sister group (northern Central America and Mexico), isolated by the

lowlands of Lake Nicaragua, which impedes gene flow among populations of other montane bird taxa (e.g., *Pharomachrus mocinno*; Solórzano et al. 2004). Very low levels of genetic differentiation (0.09) were observed within this clade.

The Sierra Madre del Sur clade in southeastern Mexico (currently recognized as *A. p. wagleri*) shows deep genetic differentiation (3.6%) from its sister group (eastern Mexico and northern Central America). Surprisingly, a nontrivial average genetic distance of 1.0% was observed between the Guerrero and Oaxaca populations of this clade, despite being separated only by the Río Verde drainage (Ferrusquía 1998); similar differentiation has been reported for hummingbirds in the genus *Eupherusa* (Hernández-Baños et al. 1995) and bush-tanagers in the genus *Chlorospingus* (García-Moreno et al. 2004). Further analyses are necessary to better understand the biogeographic and evolutionary implications of these differences.

Sister to the populations from Sierra Madre del Sur is a clade that includes the populations from eastern Mexico and northern Central America. A basal split separates populations of the Sierra Madre de Chiapas and Guatemala (current *A. p. chiapensis*; Peters 1948), with an average genetic differentiation of 0.96% compared with the rest of this clade. This population is isolated from the remaining Central American populations by the Río Motagua Valley in Guatemala. Curiously, though, other Central American populations nestle within the Mexican members of this clade. More generally, minor subclades correspond to the populations of (1) the Tuxtlas massif, (2) Nicaragua and El Salvador, and (3) eastern Mexico, but levels of differentiation are low.

Differentiation within the South American clade provides a view of the complexities of speciation in the region. The first split off this broad lineage is the one that includes populations of the Sierra de Perijá, Cordillera de Mérida, and the Eastern Andes of Colombia; this clade differs genetically by 5.16% from the remaining South American populations. An average genetic distance of 0.4% was observed inside this clade, an order of magnitude lower. This isolated Venezuelan and eastern Colombian clade (form *A. p. albivitta*) is, thus, quite distinct in molecular characters as well as phenotypic features (Peters 1948, Haffer 1974).

The sister clade to the *A. p. albivitta* lineage includes populations of the Central Andes of Colombia, as well as of Ecuador, Peru, and Bolivia. The Colombian populations (form *A. p. griseigularis*), a well-supported clade, are distributed along the Central Andes of Colombia and differ by an average genetic distance of 1.57%.

Populations from Ecuador, Peru, and Bolivia belong to a poorly supported clade with relationships that are not resolved. This group includes populations assigned to subspecies *cyanolaeus*, *atrogularis*, and *dimidiatus* (Haffer 1974, Navarro-Sigüenza et al. 2001, Short and Horne 2001). Although low levels of differentiation between some populations allow perception of some geographic structuring, our results suggest that the intergradation of morphological traits among those “subspecies” (Haffer 1974) may reflect gene flow or recent connection among them.

A full picture of divergence patterns among all *A. prasinus* populations was not possible because of a lack of samples from the Western Andes (form *A. p. phaeolaemus*) and the Sierra de Santa Marta (*A. p. lautus*) in Colombia. These regions are



examples of extreme geomorphological complexity, formed by several mountain ranges of different geological origins (Kattan et al. 2004). Future sampling from these regions will provide a more complete view of the biogeographic history and speciation of *A. prasinus* and other taxa in South America.

*Biogeographic history of Aulacorhynchus "prasinus."*—Given the phylogenetic relationships just discussed, we can reconstruct a general hypothesis of the historical biogeography of the populations of *A. prasinus*. Emerald Toucanets have been considered a group whose distribution and differentiation fit nicely into the "refugia" hypothesis of diversification in the Neotropics as a result of Pleistocene climatic fluctuations (Haffer 1974), via cycles of range contraction and expansion resulting in fragmentation and isolation of populations, with subsequent speciation (Toledo 1982, Llorente 1984, Whitmore and Prance 1987, Graham 1998).

Although genetic data for toucanets from the northernmost areas in Colombia are not yet available, similar patterns of a basal separation of Mesoamerican and South American populations were also observed in *Amazona ochrocephala* (Eberhard and Bermingham 2004). This old divergence appears to have been followed in South America by range expansion southward through the Andes, with basal populations in the isolated ranges of the northern Andes. The low levels of genetic differentiation observed among populations in Ecuador, Peru, and Bolivia suggest that the events that caused their divergence are more recent, which agrees with Pleistocene climatic fluctuations, even though direct evidence is limited. Similar biogeographic patterns have been found in the *Pionopsitta* and *Pteroglossus* complexes (Eberhard and Bermingham 2005). Nevertheless, other studies indicate additional factors, such as the importance of the emergence of the Andes (Kattan et al. 2004), riverine barriers (García-Moreno and Fjeldså 2000, Franke et al. 2005), or even the linearity of the Andes, which results in elongated geographical ranges of taxa that reduces the potential contact and gene flow of parapatric forms (Graves 1982, Renssen 1984).

In Central America, short genetic distances among populations and relationships between Mesoamerican and South American lineages suggest that "Emerald Toucanet" ancestors have been present in Central America for a long time, with a northward expansion of populations from southern Central America. Both the short internodes and the short terminal branch lengths of the northern Central American and Mexican populations suggest that diversification in the area was relatively quick and more recent. That is to say, an ancestral population could have been divided by vicariant events via fragmentation of the cloud forests as the climates changed. Similar vicariant mechanisms have been suggested in studies of other habitat-restricted taxa, including amphibians (Campbell 1999), mammals (Sullivan et al. 1997, 2000; L. León-Paniagua et al. unpubl. data), birds (García-Moreno et al. 2004, 2006), and beetles (Liebherr 1991, Marshall and Liebherr 2000). Dispersal, nonetheless, cannot be ruled out as an alternative explanation for this biogeographic pattern.

*Taxonomic implications.*—According to the morphological evidence available (Navarro-Sigüenza et al. 2001, Short and Horne 2001), paired with the molecular data presented here that suggest clear differentiation and lack of gene flow between clades, a full

re-evaluation of the taxonomy of the forms included in *A. "prasinus"* (*sensu* AOU 1998) is needed. Deep divergences among the groups of populations discussed above clearly reflect long periods of significant genetic isolation (Burns 1997, Johnson and Sorenson 1999, Omland et al. 1999; Table 2).

All clades under discussion can be identified easily by diagnostic morphological attributes, including size and color patterns related to beak or plumage characteristics (Navarro-Sigüenza et al. 2001, *contra* Short and Horne 2001). These characters are important in social and reproductive behavior in the Ramphastidae (Skutch 1967, Haffer 1974); therefore, they could facilitate reproductive isolation in cases where populations came into contact. As a result, the clades that we have identified likely represent species entities recognizable under the biological, evolutionary, and phylogenetic concepts (Cracraft 1983, McKittrick and Zink 1988, Mayr 2000, Navarro-Sigüenza and Peterson 2004). Thus, we consider that sufficient morphological and genetic evidence (Helbig et al. 2002) is available now to re-evaluate the taxonomic status of the group, and we suggest that four species in Mesoamerica and three in South America be recognized. The Mesoamerican taxa largely correspond to those suggested by Navarro-Sigüenza et al. (2001); English names follow Ridgway (1914) and Hilty (2003):

(1) *Aulacorhynchus cognatus* (Nelson 1912). Goldman's Blue-throated Toucanet. Endemic to the isolated mountains in the Darien of eastern Panama (Cerro Pirre and Cerro Tacarcuna; Robbins et al. 1985, Hilty and Brown 1986). Although this form has been considered morphologically very similar to *A. caeruleogularis*, the base of the culmen is black and individuals are somewhat smaller.

(2) *Aulacorhynchus caeruleogularis* (Gould 1854). Blue-throated Toucanet. This species is endemic to the mountains of Costa Rica and western Panama and is well differentiated from the other Mesoamerican species. It includes the forms *caeruleogularis* and *maxillaris* (Peters 1948), among which low levels of mtDNA divergence were observed.

(3) *Aulacorhynchus wagleri* (Sturm and Sturm 1841). Wagler's Toucanet. Endemic to the Sierra Madre del Sur of Guerrero and southern Oaxaca in Mexico. This species shows strong genetic differentiation from other Mesoamerican species and is characterized mainly by black at the base of the beak.

(4) *Aulacorhynchus prasinus* (Gould 1833). Emerald Toucanet. Inhabits cloud forest from northeastern Mexico south to Nicaragua and includes *wagneri* from the Tuxtla; *chiapensis* from the Pacific slopes of southern Chiapas and southwestern Guatemala; *virescens* from northern Guatemala, Belize, Honduras, and Nicaragua; *stenorhabdus* from northern El Salvador; and *volcanius* from the San Miguel Volcano of El Salvador (Peters 1948).

(5) *Aulacorhynchus albivitta* (Boissonneau 1840). White-throated Toucanet. Ranges along the Andes of northern South America from Venezuela and eastern Colombia. This form is widespread along the northern Andes, and no variation has been described among its populations (Dickinson 2003).

(6) *Aulacorhynchus griseigularis* Chapman 1915. Grey-throated Toucanet. Endemic to the central and western Andes of Colombia. We ascribe three Colombian samples to this taxon on the basis of distributional data presented by Haffer (1974) and the

deep morphological (Navarro-Sigüenza et al. 2001) and molecular differences exhibited.

(7) *Aulacorhynchus atrogularis* (Sturm and Sturm 1841). Black-throated Toucanet. Ranges along the eastern slopes of the Andes of Peru and Bolivia and includes forms *cyanolaemus* (Ecuador and Peru), *dimidiatus* (Bolivia), and nominate *atrogularis* (O'Neill and Gardner 1974, Navarro-Sigüenza et al. 2001). Although we detected relatively high values of genetic divergence between some of the populations within this clade, our data support the hypothesis of ample intergradation among forms. More sampling is necessary to elucidate the status of these populations, for each of which species status has been suggested (Gilbert 2002).

Many challenges remain for a complete understanding of the evolution and diversification of *Aulacorhynchus* in the Neotropics. Moreover, for South American populations we have analyzed only a minor proportion of the diversity in this complex. Our results suggest the importance of history along with ecological factors in the process of speciation of *A. prasinus*. This study, together with others that address evolution in similarly distributed taxa (e.g., García-Moreno et al. 2004, 2006; Pérez-Emán 2005; Dingle et al. 2006) contribute to a new understanding of the complexities of the evolution in the rich Neotropical montane avifauna.

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