OPEN ACCESS AT THE UNIVERSITY OF KANSAS:
lessons on the ground

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OA at KU: lessons from the ground

- Today
  - Recent history
  - Phase I – Governance Ad Hoc
  - Phase II – Governance OA Implementation Task Force
  - Library Role in OA policy development
  - Lessons Learned
  - Next steps…
Building on the foundation of the previous 10 years:

Recent history:

- Faculty/librarian brainstorming— faculty champions identified (March 2008)
- Environmental scan and specific strengths
- Faculty governance with library faculty in key roles
Policy Passed with caveats

Policy of April 2009 stated in its last sentence:

“Faculty governance in consultation with the Provost's office will develop the details of the policy which will be submitted for approval by the Faculty Senate.”

This necessitated a Phase II
OA at KU: Phase II

Open Access Policy: Phase II

Creation of OA Implementation Task Force charged with:

- Drafting implementation plan (processes).
- Offering revisions to the policy itself (policy).
- Creating campaign to inform, address concerns, receive feedback on early drafts, make revisions and identify early-adopter individuals and departments.
- Working with members (11) from various disciplines, administrative, library and leadership roles.
OA Implementation Task Force members

Convened July 2009 by Faculty Senate Executive Committee:

- Victor Bailey, Director for the Hall Center for Humanities, (History)
- Marc Greenberg, Chair of Slavic Department (Slavic Linguistics)
- Ada Emmett, Libraries (Chair of OA TF, FacEx member)
- Mary Lee Hummert, Vice Provost for Faculty Affairs, (Communication Studies)
- Julie Loats, IT Co-Director
- Deb Ludwig, Assistant Dean of Collections and Scholar Services, Libraries
- Town Peterson, Distinguished Professor of Ecology & Evolutionary Biology
- Greg Simpson, Interim Dean of the Colleague of Liberal Arts and Sciences’, (Psychology)
- Andrew Torrance, Associate Professor of Law
- Ann Turnbull, Distinguished Professor of Special Education
- Rob Ward, Assistant Professor, Molecular Biosciences
Library Role:

- Serving as OA experts and catalysts behind the scenes;
- Midwifing the process carefully and encouraging teaching faculty’s leadership;
- Acknowledging often and directly that this is a faculty issue;
- Supporting and demonstrating the library support and commitment (from the past as well);
- Providing human and technology resources.
Task Force work: Fall 2009 - Feb 2010:

- Six “brown bag” lunches held with faculty; one open meeting for all faculty;
- **Meetings with departments:** Slavic Languages, Ecology & Evolutionary Biology, History, Libraries, Sports/Health, Sociology, English, Art History, Public Admin, School of Pharmacy, Communication Studies;
- Close to 230 faculty involved in in-person discussions;
- **Key documents vetted** with KU General Council;
- **Progress reports** to FacEx, Faculty Senate, and VPs’ & Deans, and Graduate Student Association;
- National OA and legal experts (5) consulted;
- **Extended discussions** with IT and Libraries.
Lessons Learned on the Ground:

- Go slowly;
- Patience, perseverance, diplomacy, tact, thick skin, and more patience;
- Find the strengths;
- This is a faculty initiative
- Know their concerns ahead of time and address those first;
- Tailor the message
- Allow it to be a learning process for all.
Next Steps:

Dean of Libraries chosen as “Provost’s Designate”

- Charging the OA Advisory Board per requirement of policy; (Dean of Libraries as Executive Chair)
- Implementation responsibilities within the libraries;
- Hiring of a Digital Initiatives specialist to assist in the OA implementation, 50%;
- Upgrades to DSpace and development of more sophisticated web services and submission processes for users;
- Long term planning for other OA related initiatives.
Thank you

Presentation given on April 14th 2010, for the Institute on Scholarly Communication ARL/ACRL ISC Webinar Series
Program 2A : Managing Transformative Change: Campus Policy and Politics, or: So we’re not Harvard…