

DISCRETE SETS OF SINGULAR CARDINALITY

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ABSTRACT. Let κ be a singular cardinal. In Fleissner's thesis, he showed that in normal spaces X , certain discrete sets Y of cardinality κ (called here sparse) which are $< \kappa$ -separated are, in fact, separated. In Watson's thesis, he proves the same for countably paracompact spaces X . Here we improve these results by making no assumption on the space X . As a corollary, we get that assuming $V = L$, \aleph_1 -paralindelöf T_2 spaces of character $\leq \omega_2$ are collectionwise Hausdorff.

In his thesis, Fleissner proved

THEOREM [F]. *Assuming $V = L$, normal, T_2 , spaces of character $\leq c$ are collectionwise Hausdorff.*

The proof is by induction on κ , the induction hypothesis being that discrete sets of cardinality κ can be separated. For κ regular, the proof uses a \diamond -like principle. For κ singular, the induction hypothesis GCH and normality are used to show that a discrete set of cardinality κ is sparse (defined below). The singular κ case is finished by proving that in normal spaces X , discrete, sparse, $< \kappa$ -separated sets are separated. (Let us call this the last lemma.) In his thesis [W], Watson proved the analogous results with normality replaced with countable paracompactness. Here we prove the last lemma without assuming that X is either normal or countably paracompact.

A subset Y of a space (X, \mathfrak{T}) is called *discrete* if every $x \in X$ has a neighborhood containing at most one point of Y . A *neighborhood assignment* for Y is a function $U: Y \rightarrow \mathfrak{T}$ such that for all $y \in Y$, $y \in U(y)$. Y is *separated* if there is a disjoint neighborhood assignment for Y . Y is *$< \kappa$ -separated* if every subset of Y of cardinality $< \kappa$ is separated.

Let us fix a singular cardinal κ and a closed, cofinal in κ , set of cardinals, $\{\kappa_\beta: \beta < \text{cf}(\kappa)\}$, enumerated in increasing order, such that $\kappa_0 = 0$ and $\kappa_1 \geq \text{cf} \kappa$, $\kappa_1 > \omega_1$. Throughout this paper, Y will be a discrete subset of a space X with $|Y| = \kappa$. We say that $\mathcal{Q} = (A_\beta)_{\beta < \kappa}$ is a *nice chain* if $\bigcup \mathcal{Q} = Y$; for all $\beta < \kappa$, $|A_\beta| = \kappa_\beta$; if $\alpha < \beta$, then $A_\alpha \subset A_\beta$; and for limit ordinals λ , $\bigcup \{A_\beta: \beta < \lambda\} = A_\lambda$.

Given a nice chain \mathcal{Q} , a neighborhood assignment U , and a $\beta < \text{cf} \kappa$, we define

$$S(\mathcal{Q}, U, \beta) = \overline{\bigcup \{U(y): y \in A_\beta\}} \cap (Y - A_\beta).$$

Received by the editors September 1, 1982 and, in revised form, November 22, 1982.

1980 *Mathematics Subject Classification.* Primary 54D15, 03E05.

Key words and phrases. Discrete, singular cardinals, collectionwise Hausdorff.

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 0002-9939/82/0000-1266/\$01.75

We will say that U is thin w.r.t. \mathcal{Q} if, for all $\beta < \text{cf } \kappa$, $|S(\mathcal{Q}, U, \beta)| \leq \kappa_\beta$. We will say that Y is sparse if for every nice chain \mathcal{Q} there is a neighborhood assignment U which is thin w.r.t. \mathcal{Q} .

The notion “sparse” is rather technical, but it is an important intermediate concept, as illustrated by the following two lemmata.

LEMMA 1. Assume GCH. Let Y be a discrete subset with singular cardinality κ of a space X with the character of X less than κ . If X is (a) normal, or (b) countably paracompact, or (c) \aleph_1 -paralindelöf, then Y is sparse.

LEMMA 2. If Y is sparse and $< \kappa$ -separated, then Y is separated.

PROOF OF LEMMA 1. (A sketch—for details see [F and W].) Let \mathcal{Q} be an arbitrary nice chain.

Suppose X is normal. For each $\beta < \text{cf } \kappa$, enumerate the functions u from A_β to $\overline{\mathcal{V}}$, where $u(y)$ is in a fixed small neighborhood base of y , as $\{u_\beta^\delta: \delta < \kappa_\beta^+\}$. (This is the only use of GCH and the character of X being less than κ). Inductively define two disjoint closed subsets H and K of Y . At stage (β, δ) , if possible, ruin every extension of u_β^δ from defining disjoint open sets separating H and K . Having defined H and K , use normality to separate them and define a neighborhood assignment U . For each β , why was not $U|A_\beta$ ruined? It must have happened that $|S(\mathcal{Q}, U, \beta)| \leq \kappa_\beta$. That is, U is thin w.r.t. \mathcal{Q} .

Similarly for X countably paracompact, we must enumerate pairs (u, j) where $u: A_\beta \rightarrow \overline{\mathcal{V}}$ and $j: A_\beta \rightarrow \omega$, and we must define a partition $\{H_i: i < \omega\}$ of Y . For X \aleph_1 -paralindelöf, $j: A_\beta \rightarrow \omega_1$, and the partition of Y is $\{H_i: i < \omega_1\}$. \square

We need some preparation for Lemma 2. Given a nice chain \mathcal{Q} , we define $b: Y \rightarrow \text{cf } \kappa$ by $b(y) = \min\{\beta < \kappa: y \in A_{\beta+1}\}$. If \mathcal{Q} has a prime or subscript, then the b defined from \mathcal{Q} has the same.

LEMMA 3. If Y is sparse, let \mathcal{Q} be a nice chain and U a neighborhood assignment w.r.t. \mathcal{Q} . Abbreviate $S(\mathcal{Q}, U, \beta)$ by S_β . There is a nice chain \mathcal{Q}' and a neighborhood assignment U' satisfying:

- (i) for all $\beta < \text{cf } \kappa$, $A'_\beta \supset A_\beta \cup S_\beta$;
- (ii) if $y \notin S_\beta$, then $b'(y) = b(y)$;
- (iii) if $y \in S_\beta$, then $b'(y) < b(y)$;
- (iv) for all y, z , if $b(z) < b'(y)$, then $U(z) \cap U'(y) = \emptyset$.

PROOF. We would like to simply set $A'_\beta = A_\beta \cup S_\beta$, but then $A'_\lambda = \cup\{A'_\beta: \beta < \lambda\}$ might fail. So for limit ordinals γ less than $\text{cf } \kappa$, let $(T_\beta^\gamma)_{\beta < \gamma}$ be a nice chain for S_γ . Precisely, $\cup\{T_\beta^\gamma: \beta < \gamma\} = S_\gamma$; if $\beta < \gamma$, then $|T_\beta^\gamma| \leq \kappa_\beta$; if $\alpha < \beta < \gamma$, then $T_\alpha^\gamma \subset T_\beta^\gamma$; and for limit ordinals λ , $\cup\{T_\beta^\gamma: \beta < \lambda\} = T_\lambda^\gamma$. Set

$$A'_\beta = A_\beta \cup S_\beta \cup \left(\cup \{T_\beta^\gamma: \gamma < \text{cf } \kappa, \gamma \text{ a limit}\} \right).$$

(Here is where the fact that κ is singular is used. A'_β is the union of $\text{cf } \kappa < \kappa_\beta$ many sets of cardinality no greater than κ_β .)

Let $y \in Y$ be arbitrary. Let β be least such that $y \in S_\beta$ (if any exist). Then $y \in A'_\beta$; hence $b'(y) < \beta$. We have shown that for all $y, y \notin S_{b'(y)}$. That is, $y \notin \bigcup \{U(z) : b(z) < b'(y)\}$. Hence a neighborhood assignment U' satisfying (iv) can be defined. \square

PROOF OF LEMMA 2. We define nice chains \mathcal{Q}_i and neighborhood assignments U_i, U'_i by induction on $i < \omega$. Let \mathcal{Q}_0 be arbitrary. If \mathcal{Q}_i has been defined, by sparseness, choose U_i thin w.r.t. to \mathcal{Q}_i . Apply Lemma 3 to \mathcal{Q}_i, U_i to get \mathcal{Q}'_i and U'_i . Set $\mathcal{Q}_{i+1} = \mathcal{Q}'_i$. By $< \kappa$ -separated, define a neighborhood assignment U''_i so that for each $\beta < \text{cf } \kappa, \{U_i(y) : b_i(y) = \beta \text{ or } b_{i+1}(y) = \beta\}$ is disjoint.

For each $y \in Y$ and $i < \omega, b_{i+1}(y) \leq b_i(y)$; hence there is $n(y) < \omega$ so that for all $i \geq n(y), b_i(y) = b_{n(y)}(y)$. We define a neighborhood assignment $W: Y \rightarrow \mathfrak{S}$ by

$$W(y) = \bigcap_{i \leq n(y)+1} (U_i(y) \cap U'_i(y) \cap U''_i(y)).$$

We claim that $\{W(y) : y \in Y\}$ is disjoint. Let y, z be distinct elements of Y . Let $k = \min\{n(y), n(z)\}$. If $b_k(y) = b_k(z)$, then $U'_k(y) \cap U'_k(z) = \emptyset$. Without loss of generality, assume that $b_k(y) < b_k(z)$. If $b_k(y) < b'_k(z) = b_{k+1}(z)$, then $U_k(y) \cap U'_k(z) = \emptyset$. Hence $b_{k+1}(z) \leq b_k(z)$, and $k = n(y)$. If $b_{k+1}(z) = b_k(y)$, then $U'_k(y) \cap U'_k(z) = \emptyset$. So the only possibility left is $b_{k+1}(z) < b_k(y)$. Since $k = n(y), b_{k+1}(z) < b_{k+2}(y) = b_k(y)$, hence $U'_{k+1}(y) \cap U_{k+1}(z) = \emptyset$. Hence $W(y) \cap W(z) = \emptyset$. \square

We say that a space is \aleph_1 -paralindelöf if every open cover of cardinality ω_1 has a locally countable refinement.

COROLLARY. Assume $V = L$. Discrete subsets of regular \aleph_1 -paralindelöf spaces of character $\leq \omega_2$ can be separated.

PROOF. By induction on κ , we prove that discrete sets of cardinality κ can be separated. For $\kappa = \omega_1$, we note that discrete sets in regular paralindelöf spaces can be separated by an open cover with the same cardinality as the discrete set. For other regular κ , Watson's proof [W] generalizes in a straightforward manner. For singular κ , first use Lemma 1 and then Lemma 2.

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