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Four Cited at Higuchi-KU Endowment Research Achievement Awards Ceremony November 2



More than 100 colleagues, family members, and friends attended the 31st annual presentation of the Higuchi-KU Endowment Research Achievement Awards November 2 at the Lied Center.

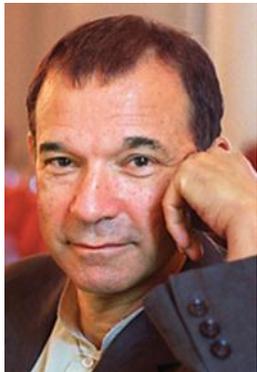
Shown with the certificates are, from right to left, **Judy Wu**, Physics and Astronomy (Olin Petefish Award in Basic Science), **Rolf Mandel**, Anthropology and Kansas Geological Survey (Irvin Youngberg Award for Applied Sciences), **Susan Lunte**, Pharmaceutical Chemistry and Chemistry (Dolph Simons Award in Biomedical Sciences), and Stuart Day, chair of Spanish and Portuguese, representing **Jonathan Mayhew** (Balfour Jeffrey Award in Humanities and Social Sciences). Mayhew was stranded in Spain as a result of Hurricane Sandy and could not attend the ceremony.

In her remarks at the ceremony, Chancellor Bernadette Gray-Little said, “It’s gratifying the recipients found room at KU to expand their personal horizons and grow the scope of their research over a period of decades. That is certainly one of our goals as a university.”

The awards are given in memory of Takeru Higuchi and recognize exceptional long-term research accomplishments of faculty at Kansas Board of Regents universities. Each award includes a citation and a \$10,000 award for ongoing research efforts.

More information about the awards, including past recipients and selection criteria, is [available online](#). The nomination deadline for the 2013 awards is **Tuesday, January 29, 2013**.

Shakespeare Scholar is Humanities Lecture Series Speaker on November 14



Stephen Greenblatt, author of *Will in the World* and other works on William Shakespeare, is the next speaker in the Humanities Lecture Series, hosted by the Hall Center for the Humanities with support from the Sosland Foundation of Kansas City. Greenblatt's Wednesday, November 14 presentation is at 7:30 p.m. at Woodruff Auditorium in the Kansas Union. He will focus on his latest book, *The Swerve: How the World Became Modern*, recipient of the 2012 Pulitzer Prize for general nonfiction and a 2011 National Book Award. The program is free and open to the public.

Greenblatt is the John Cogan University Professor of the Humanities at Harvard University and general editor of the *Norton Shakespeare*. He is regarded as the father of New Historicism, a form of critical theory that seeks to unite literature, historical context, and cultural theory. He is the author of 12 books about Shakespeare, the Renaissance, and early modern culture. His most recent work demonstrates how something as seemingly insignificant as a poem could influence the cultural world. Greenblatt argues that the copying and translation of Lucretius' *On the Nature of Things* fueled Renaissance artists, shaped the thoughts of thinkers from Galileo to Einstein, and influenced writers from Montaigne to Shakespeare to Thomas Jefferson. [More information is available online.](#)

Nominations Sought for University Scholarly Achievement Awards

Each year, up to four mid-career KU faculty members are recognized by Chancellor Bernadette Gray-Little as recipients of a University Scholarly Achievement Award. The award recognizes either a single, discrete, scholarly or research contribution (an exhibition, performance, composition, article or book, or major collaborative project) or a series of closely related contributions. The contribution: advances the field of scholarship; exhibits novelty and originality; promotes scholarly and research activity at KU; and enhances the university's national and international reputation. [Information about the 2012 recipients is available online.](#)

To be eligible for consideration, the faculty member must hold the rank of associate professor or full professor; be within 10 years of appointment as an associate professor; must have completed three years at KU; and must not have received a prior major KU award for this body of work. Individuals may self-nominate or be nominated by others. A complete nomination will include:

- Documentation of the scholarly or research contribution as appropriate for the discipline;
- A letter of nomination of no more than 750 words that explains in terms comprehensible to an educated layperson how the contribution meets the award criteria;
- Curriculum Vita; and
- Two reference letters (at least one from outside the University of Kansas) from individuals who are qualified to comment on the merits of the scholarly contribution to be sent directly to the address below, arriving no later than January 7, 2013.

Nominations should be sent by December 14, 2012 to: Office of the Chancellor, 1450 Jayhawk Boulevard, Room 230 Strong Hall, Lawrence, KS 66045, ATTN: Scholarly Achievement Award Committee, or sent electronically to kuchancellor@ku.edu with "Scholarly Achievement Award" in the subject line. Nominations that were not successful last year may be re-submitted if the nominee continues to meet the award criteria.

All nominations will be evaluated by a broadly representative panel of eight individuals appointed by the Chancellor. The panel may recommend up to four awards each year in these areas: Arts and Humanities; Science, Technology and Mathematics; Clinical Science; and Social Science and Professional Programs. The panel will convey its recommendations to the Chancellor in March and the recipients will be announced in April.

New Resource Available to KU Researchers Seeking NSF Funding

The Office of Research and Graduate Studies is pleased to announce a new resource for researchers seeking National Science Foundation funding. *NSF Grant Application Mentor: An Educational How-To Manual*, is designed to help researchers understand the NSF grant award system, including the application and review process, NSF's review criteria, the elements of an NSF grant proposal, documenting resources available and the significance of the research topic, and best tactics for submitting a winning proposal.

The first five chapters of the manual are available now. The remaining two will be available by December. An outline of all seven chapters is provided below.

The *NSF Grant Application Mentor* is provided by the Principal Investigators Association on a subscription basis, and will be made available free online to interested KU principal investigators via BlackBoard. To gain access, e-mail Bill Sharp, assistant director of research administration, at wsharp@ku.edu.

NSF Grant Application Mentor: An Educational How-To Manual

1. Preparation: What Every Researcher Should Know Before Applying
 - a. Starting the grant application process
 - b. Qualifying for an NSF grant
 - c. Making a plan
 - d. Choosing and defining the project
 - e. Establishing a writing schedule
2. Knowing Your Audience: Understand NSF's Review Criteria and Reviewers
 - a. Understanding the NSF merit review criteria
 - b. Writing for your audience: The reviewers
3. Successfully Present Your Project and Your Individual Qualifications
 - a. Presenting your project and your individual qualifications
 - b. Before you begin writing: Notes on formatting
 - c. Designing and writing your project summary
 - d. Using biographical sketches to highlight your expertise
 - e. Special considerations when writing collaborative proposals
4. How to Document Your Resources and Your Commitment to the Research Community
 - a. Detailing your facilities and resources
 - b. Another important resource: Your collaborators
 - c. Developing and writing your data management plan
5. Demonstrating the Significance of Your Research Topic
 - a. The project description: Length and rules
 - b. The project description: Content expectations
 - c. Outlining and organizing the project description
 - d. Writing the project description
 - e. Using references wisely
 - f. Special instructions for renewals and resubmissions
6. NSF Special Considerations: Reporting and Compliance Essentials for Human Subjects and Animals (available in December 2012)
 - a. Strategies for establishing a budget and for drafting a budget justification
 - b. Special considerations that investigators must address, such as the use of human or animal subjects
7. The NSF Review Process: Tactics for Submitting a Winning Proposal (available in December 2012)
 - a. The NSF submission process
 - b. The NSF review process and its aftermath

Training: November 8 TRT Presentation Replaces NCURA Video Workshop



The Office of Research and Graduate Studies offers research-related video workshops on a regular basis during the year. The National Council of University Research Administrators (NCURA) produces the programs on a variety of relevant topics and RGS offers them to the campus at no charge.

The previously scheduled November 8 NCURA video workshop on “How to Apply Process Improvement Strategies to Research Administration” was cancelled. In its place, RGS is hosting a November 8 *Thursday Research Topics*

presentation on “Sponsored Projects Authorizations (KU Procure to Pay and Other Systems)” from 11:10 a.m. to noon at the Simons Laboratories Auditorium on West Campus.

On December 13, the next *Thursday Research Topics* presentation will be on “Using the Greenphire System for Processing Research Participant Payments.” The program is scheduled from 11:10 a.m. to noon at the Simons Laboratories Auditorium. Greenphire was featured in a [KU news release last year](#). All Thursday Research Topics programs are recorded and made available on Blackboard for those who are not able to attend in person.

NSF Posts Solicitations for Core BIO Programs – Some Changes Noted

The National Science Foundation Directorate for Biological Sciences (BIO) will continue to follow procedures established in 2012 for the submission and review of regular research proposals to the core programs within the Division of Environmental Biology (DEB), and Division of Integrative Organismal Systems (IOS).

Both DEB and IOS require submission of a preliminary proposal in January and limit the number of proposals an individual may submit. Submission of full proposals is by invitation only for an August 2, 2013 deadline. Details for each program are as follows:

- **Division of Integrative Organismal Systems (IOS) Core Programs NSF 13-506**

<http://www.nsf.gov/pubs/2013/nsf13506/nsf13506.htm>

The deadline for IOS preliminary proposals is January 18, 2013. An individual may participate as a PI or Co-PI in no more than two preliminary proposals submitted in response to this solicitation. Participating in a proposal as “other senior personnel” or as a “collaborator” does not count towards this limit, including investigators who contribute services for a fee or are the PI on a subaward.

- **Division of Environmental Biology (DEB) Core Programs NSF 13-508**

<http://www.nsf.gov/pubs/2013/nsf13508/nsf13508.htm>

The deadline for DEB preliminary proposals is January 23, 2013. An individual may participate as a PI, Co-PI or lead senior investigator of a subaward on no more than two preliminary proposals submitted to this solicitation. Participating in a proposal as other senior personnel does not count in this limit.

FAQs are available online for the [DEB/IOS Preliminary Proposal and Proposal Submissions](#) and the [IOS Core Programs Solicitation](#). Please contact kucrpremgmt@ku.edu if you have additional questions about the requirements for these programs.

Life Span Institute Publishes 2011-12 Annual Report

The Life Span Institute, KU’s largest and oldest research institute, published its 2011-12 annual report in October. It is [available online](#), along with prior-year reports dating to 2002. The theme of the new report is “What we know now that we didn’t know last year.” According to John Colombo, director of LSI, “The yield of new knowledge represented here is a testament to the collaborative and interdisciplinary nature of the Institute, the breadth of its activities, and the entrepreneurial spirit of the people who work here.” For a paper copy of the report, contact Karen Henry, assistant director for communications, at kahenry@ku.edu, (785) 864-0756.

Note: Kansas NSF EPSCoR White Papers Deadline Now November 9

The National Science Foundation released the [program solicitation for EPSCoR Track-2](#) on October 31. The deadline for full Track-2 proposals from partnering states/jurisdictions is January 30, 2013. However, because many states are starting to make decisions as to which states to partner with, the decision process for Kansas proposals has been accelerated. **The deadline for Kansas Track-2 white papers is now 5 p.m. on November 9, rather than the original November 30.**

Please note the following important changes to the request for white papers. They should contain the following:

- Planned title of the proposal;
- The name and contact information of the Principal Investigator and Co-Principal Investigators at each participating institution in Kansas;
- The name and contact information of the Principal Investigator and Co-Principal Investigators at each participating institution in the collaborating jurisdiction(s);
- A **brief** description of the project (not to exceed **500 words**)

For more information go to <http://www.nsfepscor.ku.edu/funding.html>.

Alfredo Artiles is Next Bold Aspirations Visiting Lecturer on November 15



In August, Provost Jeff Vitter invited the campus to “help in identifying scholars for the Bold Aspirations Visitor and Lecture Series, which will highlight eminent visitors and KU scholars related to the strategic initiative themes.” He encouraged the submission of names of outstanding individuals who might meet with the KU community and give a public lecture, with visits ranging from one or two days to an entire semester or longer.

Next in the series will be Alfredo Artiles, professor of culture, society, and education in the School of Social Transformation at Arizona State University. He will make a public presentation on Thursday, November 15 at 4 p.m. at The Commons in Spooner Hall. The title of his talk is “Intersectionality in Research on the Racialization of Disability: An Interdisciplinary Critique.

Artiles’ interdisciplinary scholarship examines the ways cultural practices and ideologies of difference mediate school responses to students’ needs. His research also focuses on teacher learning for social justice. He has published extensively for research, policy, and practice audiences in education, psychology, and related disciplines. In 2011, he co-edited *Inclusive Education: Examining Equity on Five Continents* (Harvard Education Press).

Artiles’ academic background includes an M.Ed. degree in special education and a Ph.D. degree in education, both from the University of Virginia. He earlier received a *Licenciatura* in educational and clinical psychology from the Universidad Rafael Landívar in Guatemala. He taught at UCLA and Vanderbilt before joining the faculty at Arizona State in 2004. He is a fellow of the American Educational Research Association and past vice president of its Division on the Social Contexts of Education. He is the recipient of a Spencer Foundation/National Academy of Education postdoctoral fellowship and a residential fellowship at Stanford’s Center for Advanced Study in the Behavioral Sciences. Currently, he serves on President Obama’s 15-member Advisory Commission on Educational Excellence for Hispanics.

More information about the Bold Aspirations Visitor and Lecture Series is available at the [Provost’s web site](#). To propose someone for the series and receive funding and other support from the Office of the Provost, send names, biographical information, and a brief rationale to provost@ku.edu. It is important that the proposal relate to one or more of the four **Bold Aspirations Strategic Themes**.

New NSF Proposal and Awards Guide Means Significant Changes in January

NSF has just issued a new Proposal & Award Policies and Procedures Guide (PAPPG), (NSF 13-1 that will be effective for all proposals submitted on or after January 14th. A summary of all changes is [available online](#). Significant changes that will affect PIs include:

1. The Project Summary section will include three separate text boxes to be completed through FastLane where the “Intellectual Merit” and “Broader Impacts” statements will be clearly identified. The Project Summary will include the overview and the two merit review criteria sections and must be contained within the one-page limit.
2. The Project Description section must now include a separate section within the narrative that describes the broader impacts of the project. In addition, the Intellectual Merit and Broader Impact statements from Prior NSF Support must be identified in separate sections in the summary of Results from Prior NSF Support.
3. NSF Review Criteria has been renamed “Merit Review Principles and Criteria.” The Postdoctoral Mentoring Plan instructions have moved to Chapter II.C.2.j. Other language in this section has been modified to conform with recommendations from the National Science Board.
4. In the Biographical Sketch section, the “Publications” section has been renamed “Products” with a broader definition for Products that will include data sets, software, patents, and copyrights, in addition to publications.
5. F&A costs are always to be included in accordance with the institution’s federally negotiated rates, unless the NSF program solicitation direct otherwise.
6. The Facilities and Other Resources section has been reformatted to combine internal and external resources available to the project, as well as to conform to the NSF cost sharing policy.
7. The Participant Support section has been modified to allow F&A to be collected in some specific cases if negotiated with NSF in advance.
8. There is a clarification now that foreign subawardees may not recover F&A, unless they hold a previously negotiated rate agreement with a U.S. Federal agency.

Other administrative changes that will affect certifications and registration in the new System for Award Management (SAM) will be managed by KUCR. Please read the new guidelines for each program that you will be submitting to very carefully, as many small changes have also been incorporated.

Drop-In Help Sessions Available for KU Conflict of Interest Reporting System

KU faculty and unclassified staff submit a financial conflict of interest report each year. The report is required by the Kansas Board of Regents and KU policy and is intended to ensure any real or potential conflicts with university responsibilities are disclosed and managed. This year, some dramatic changes to the reporting system are being implemented and it’s important you be ready to respond promptly.

Due to the introduction of a new HR/Payroll system this fall, the start of conflict of interest reporting was delayed to mid-November. Once you are asked to submit your annual report, turnaround is required within 30 days. This will be a complete new baseline filing, with no option to “confirm no changes.” The new system may take more time to complete initially, but subsequent years will be easier.

To aid in the process, the Research Integrity office in Research and Graduate Studies is holding drop-in help sessions at the small Budig Computer Lab at the following dates and times:

- November 8 1- 2:30 p.m.
- November 15 3 – 4:30 p.m.
- November 19 11 – 12:30 p.m.
- November 26 11:30 a.m. – 1 p.m.
- November 28 2 – 3:30 p.m.
- December 4 11:30 a.m. – 1 p.m.
- December 6 Noon – 1:30 p.m.
- December 10 11:30 a.m. – 1 p.m.
- December 12 Noon – 1:30 p.m.
- December 14 11:30 a.m. – 1 p.m.

Questions about the new system can be directed to Diane Etzel-Wise, coi@ku.edu, 864-7429, or Susan MacNally, sumac@ku.edu, 864-4148, at the Lawrence campus.

Addressing Space Needs for New, Major, Grant-Funded Projects

Research space at KU's Lawrence campus remains at a premium. This situation reflects the success of KU researchers in spite of a very tough federal funding climate. Because space across campus is tight, space considerations need to be a key part of research proposal planning. This is *especially true* for major new grant proposals involving a significant expansion of personnel or equipment.

Discussing the needs brought about by expansions of research or program staff *before* a grant is submitted will help eliminate tension with the department, school or research center responsible for supporting the investigators. Prior notice can also allow the Office of Research and Graduate Studies to assist in the development of preliminary plans for supporting a project. In response to these challenges, Research and Graduate Studies wants investigators to partner with us in the following actions:

1. For proposals with a budget of \$1.5 million per year or more over three years, or where four or more new staff will be hired, initial discussions of likely space implications should take place prior to final submission of the proposal. Pre-Award staff working with investigators on such proposals will prompt investigators to schedule discussions about the space implications of the project.
2. Initial discussions of future space needs should involve the supervisor of the unit(s) through which the grant is being submitted. This could include department chairs, associate deans, and/or center directors. These discussions should examine the potential need for additional space as well as requirements for access to different types of spaces.
3. If the space needs cannot be addressed at the unit level, the investigator and leader of that unit should contact an associate vice chancellor for research and graduate studies and the assistant vice provost for capital planning and space management to continue the discussion about potential space needs.
4. The budgets of grants that either require reduced F&A rates, including those employing "off-campus" F&A rates, or require the housing of investigators at research sites away from the campus, should include resources for off-campus space rental and/or renovation. (This assumes the agency allows the inclusion of these costs in the budget.)
5. Of course, similar space discussions should be triggered whenever the cost of leasing off-campus space cannot be covered in a proposal budget, or when significant renovations of on-campus or off-campus space are required to complete the objectives of a proposal.

The goal of having a thorough discussion of space prior to finalizing a proposal is to ensure that all grants have the support they need to be successful. Questions or comments about this issue should be directed to Joe Heppert, jheppert@ku.edu, (785) 864-8235.

KU Veterans Day Run to Raise Money for Disabled Veterans, Campus Memorials



The Office of Graduate Military Programs, part of the Office of Graduate Studies, invites faculty, staff, and students to participate in the first KU Veterans Day Run on Sunday, November 11. The event is sponsored by the KU Veterans Alumni Chapter and the Collegiate Veterans Association and begins at 9 a.m. at South Park. The route includes the KU campus and KU's memorials, and returns to South Park for food, fun and festivities. All proceeds will support scholarships for disabled veterans and their family members and the KU Memorials Fund. Runners can choose the 10K or 5K, and there is a 1-mile walk for those interested in a more leisurely morning activity. The event promises to be fun while we remember those who are serving and those who have served in the U.S. military. Entry [fee information and registration](#) are online. Donations are also welcome.

Upcoming Events

News of interest to the research community. Additional details are available at the [KU Calendar](#).

Through November 14

Workshop: "Research Administration 101"
2:30 – 4:30 p.m. on consecutive Wednesdays
Auditorium, Simons Research Labs

November 11

KU Veterans Day Run
Sponsored by KU Veterans Alumni Chapter
and the Collegiate Veterans Association
9 a.m.
South Park, Lawrence

November 14

Humanities Lecture Series: "The Swerve: How
the World Became Modern," Stephen Greenblatt
7:30 – 9 p.m.
Woodruff Auditorium, Kansas Union

November 15

Bold Aspirations Visiting Lecturer: Alfredo Artiles,
"Intersectionality in Research on the Racialization
of Disability: An Interdisciplinary Critique"
4 p.m.
The Commons, Spooner Hall

November 19

"Chili Your Way for the United Way"
Research Chili Recipe Grand Finale Event
4 – 5 p.m.
School of Pharmacy

December 7

KUCR Board of Trustees Annual Meeting
3:30 p.m.
Eaton Hall

December 13

Thursday Research Topics: "Using the Greenphire
Process to Pay Human Research Participants via
Debit Card"
11:10 a.m. – Noon
Auditorium, Simons Research Labs

December 14

Nomination Deadline: University Scholarly
Achievement Award

January 29

Nomination Deadline: Higuchi-KU Endowment
Research Achievement Award

The University of Kansas prohibits discrimination on the basis of race, color, ethnicity, religion, sex, national origin, age, ancestry, disability, status as a veteran, sexual orientation, marital status, parental status, gender identity, gender expression and genetic information in the University's programs and activities. The following person has been designated to handle inquiries regarding the non-discrimination policies: Executive Director of the Office of Institutional Opportunity and Access, IOA@ku.edu, 1246 West Campus Road, Room 153A, Lawrence, KS 66045, (785) 864-6414, 711 TTY.