Mitochondrial DNA Variation and the Origins of the Aleuts

ROHINA RUBICZ,1 THEODORE G. SCHURR,2 PAUL L. BABB,2 AND MICHAEL H. CRAWFORD1

Abstract  The mitochondrial DNA (mtDNA) variation in 179 Aleuts from five different islands (Atka, Unalaska, Unmak, St. Paul, and St. George) and Anchorage was analyzed to better understand the origins of Aleuts and their role in the peopling of the Americas. Mitochondrial DNA samples were characterized using polymerase chain reaction amplification, restriction fragment length polymorphism analysis, and direct sequencing of the first hypervariable segment (HVS-I) of the control region. This study showed that Aleut mtDNAs belonged to two of the four haplogroups (A and D) common among Native Americans. Haplogroup D occurred at a very high frequency in Aleuts, and this, along with their unique HVS-I sequences, distinguished them from Eskimos, Athapaskan Indians, and other northern Amerindian populations. While sharing several control region sequences (CIR11, CHU14, CIR60, and CIR61) with other circumpolar populations, Aleuts lacked haplogroup A mtDNAs having the 16265G mutation that are specific to Eskimo populations. R-matrix and median network analyses indicated that Aleuts were closest genetically to Chukotkan (Chukchi and Siberian Eskimos) rather than to Native American or Kamchatkan populations (Koryaks and Itel’men). Dating of the Beringian branch of haplogroup A (16192T) suggested that populations ancestral to the Aleuts, Eskimos, and Athapaskan Indians emerged approximately 13,120 years ago, while Aleut-specific A and D sublineages were dated at 6539 ± 3511 and 6035 ± 2885 years, respectively. Our findings support the archaeologically based hypothesis that ancestral Aleuts crossed the Bering Land Bridge or Beringian platform and entered the Aleutian Islands from the east, rather than island hopping from Kamchatka into the western Aleutians. Furthermore, the Aleut migration most likely represents a separate event from those responsible for populating the remainder of the Americas, meaning that the New World was colonized through multiple migrations.

Our study focuses on the origin of the Aleuts, an Alaskan population located near the entry point into the Americas. The people who first settled the Aleutian Islands are believed to have entered the region sometime after 12,000 BP. Prior to this time, the area was covered by a sheet of ice extending from the Wisconsin

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glacier (Black 1974). Beginning in 1741, at the time of Russian contact, it was
thought that the Aleutian Islands were peopled by a population expansion from
the Kamchatka Peninsula into the western islands (Laughlin and Marsh 1951).
Later, Laughlin (1963) proposed that a population ancestral to both Aleuts and
Eskimos migrated into the New World by way of the Bering Land Bridge. Ac-
accordingly, upon arriving in Alaska, this population split into the Eskimos, who
traveled northward, and the Aleuts, who moved into the eastern Aleutians from
the southeastern tip of the Bering Land Bridge when sea levels were lower.

The archaeological evidence supports Laughlin's hypothesis. The oldest
habitation sites in this archipelago, which date to around 8500 BP, are located in
the eastern Aleutians, whereas all of the oldest sites located in the western islands
are younger (Laughlin 1980; Knecht and Davis 2001). However, while agreeing
that there were migrations of people into the Aleutians from the east, scholars
from the former Soviet Union also suggest that there were numerous other move-
ments into the islands, including several from northeast Asia (Black 1983).

In general, research into the relationship of Aleuts to populations on either
side of the Bering Strait has generated conflicting results. Linguistic, morpholog-
ical, and genetic (blood groups and serum loci) evidence indicates that the Aleuts
are more similar to Eskimo groups (Bergsland 1959; Laughlin 1980; Marsh and
Swadesh 1951). However, dental traits, other discrete cranial traits, and molecular
evidence suggest that the Aleuts are more closely related to Amerindians (Merri-
At the same time, archaeological and ethnohistorical data support a closer rela-
tionship between Aleuts and Siberian populations (Hrdlicka 1945; Black 1983).
Thus, further resolution of the relationship between Aleuts and populations living
on both sides of the Bering Strait is clearly warranted.

To elucidate the genetic prehistory of the Aleuts, we characterized their
mitochondrial DNA (mtDNA) variation using restriction fragment length poly-
morphism (RFLP) analysis and sequencing of the first hypervariable segment of
the control region (HVS-1). Through these methods, it has been shown that the
mtDNAs of Native American populations belong to one of five founding hap-
logroups, called haplogroups A–D and X (Schurr et al. 1990; Torroni et al. 1992,
1993a; Forster et al. 1996; Brown et al. 1998). The frequency of these haplo-
groups varies in different regions of the Americas, with native populations from
northern North America having the highest frequencies of haplogroup A. Because
of the large body of published mtDNA data that are now available, it was possible
to compare them with those collected in this analysis and determine which Native
American or Siberian populations had the closest genetic similarities to the
Aleuts. Such data also allowed the testing of competing hypotheses for the settle-
ment of the Aleutian Archipelago, i.e., whether there were genetic links between
Aleuts and Kamchatkan populations, or, instead, between Aleuts and other Native
Americans.

In a previous study of Aleuts from St. Paul Island in the Pribilofs, Merri-
wether et al. (1995) showed that this population had primarily haplogroup A and
$D$ mtDNAs. This finding is consistent with those from other studies showing these two haplogroups primarily in circumpolar populations (Ward et al. 1991; Torroni et al. 1992, 1993a; Shields et al. 1993; Starikovskaya et al. 1998; Schurr et al. 1999). In addition, the St. Paul Aleuts also exhibited low frequencies of haplogroup $C$ and ‘other’ mtDNAs. However, all of their mtDNAs were screened only for the RFLP markers defining haplogroups $A-D$ and not subjected to HVS-I sequencing. As a result, it was impossible to determine the exact genetic affinities of Aleuts to other circumpolar populations using these data.

Our preliminary molecular analyses of Aleut mtDNAs from individuals living in the Aleutian Archipelago generally confirmed the pattern of variation seen in the St. Paul Aleuts, although revealing only haplogroup $A$ and $D$ mtDNAs in Aleutian individuals (Rubicez et al. 2000, 2001; Rubicz 2001). They also indicated that most, if not all, of the haplogroup $D$ mtDNAs belonged to the $D_2$ subtype defined by Forster et al. (1996). However, a more extensive analysis of these mtDNAs was needed to fully characterize the diversity in this population. This report summarizes our expanded analysis, and discusses the implications of the new mtDNA data for the genetic prehistory of the Aleuts.

Subjects and Methods

Population. The Aleuts traditionally inhabited the length of the Aleutian archipelago. However, after contact with Russians beginning in 1741, the original population experienced a number of disruptive events. Infectious diseases brought by early explorers and fur traders, as well as warfare with Russians, decimated this population. Although the Aleut population has increased in number during recent years, it remains a fraction of its precontact size, which has been estimated at 15,000–20,000 persons (Lantis 1984). During Russian control of the archipelago, some Aleuts were relocated to the previously uninhabited Pribilof Islands, north of the Aleutians, and to the Commander Islands proximal to the Kamchatkan Peninsula. Later, during World War II, the inhabitants of Attu were taken to Japan, and the US government further disrupted island communities by evacuating all Aleuts to the Alaskan mainland. Because of these events, admixture with non-Aleut males has occurred, first with Russians, and later with both natives and nonnatives from the American continent. Today, the Aleuts reside mainly in the eastern Aleutians, the Pribilofs, and the Alaska mainland, as well as Bering Island in the Commander Islands, Russia.

Samples. This study was approved by the University of Kansas Advisory Committee on Human Experimentation and several Aleut tribal organizations (Aleutian/Pribilof Island Association, Aleut Corporation, and tribal councils). Samples were collected from 179 Aleuts living in five, small Alaskan communities and one city (Figure 1). These included 17 from Atka, 17 from Unmak Island (Nikolski), and 37 from Unalaska (in the central and eastern Aleutians); 32 from
St. George and 47 from St. Paul (in the Pribilofs); and 29 from Anchorage. Each participant was interviewed to obtain family background information (including birth locations), and to control for admixture by confirming Aleut ancestry on his or her maternal side. Cheek cell samples from the first 50 individuals were collected using OraSure kits (Analytical Genetic Testing Center, Denver, CO). The remaining samples were collected with sterile wooden applicators and stored in Tris-EDTA buffer. DNA was isolated from the samples using either OraSure extraction kits or the standard phenol-chloroform method.

**MtDNA Analysis.** All 179 Aleut mtDNAs were surveyed for RFLPs diagnostic of the four major Native American mtDNA haplogroups through polymerase chain reaction (PCR) amplification of short segments encompassing these polymorphisms and restriction analysis. The polymorphisms analyzed included *HaeIII* np 663 site gain (haplogroup A), 9-base-pair (bp) deletion (haplogroup B), the combined *HincII* np 13259 site loss and *Alul* 13262 site gain (haplogroup C), and *Alul* np 5176 site loss (haplogroup D). The primer pairs and amplification conditions used for this analysis are described by Torroni et al. (1993). Restriction fragments were electrophoresed on NuSieve plus SeaKem agarose gels (FMC BioProducts) and photodocumented under UV-induced fluorescent light. In addition, for 163 of the samples, approximately 400 bp (np 16000-16400) of the HVS-I region were directly sequenced using Big Dye Terminator Kits (PE-Applied Biosystems) and the primers and conditions given in Schurr et al. (1999). Sequence data were collected and analyzed using the SEQED software included in the ABI 377 DNA Sequencer, and alignments and comparisons of the HVS-I sequences were made using Sequencer 3.1.1 software (Gene Codes Corporation).

**Analytical Procedures.**

**Admixture Estimates.** To assess the level of admixture in previously analyzed St. Paul Aleuts (Merriwether et al. 1995), the haplogroup frequencies from this population were analyzed using Bernstein’s classical model (Bernstein 1931). This method assumes known allelic frequencies \( P \) in two parental populations, \( A \) and \( B \), each contributing \( M \) and \( 1 - M \) proportions to the hybrid population. The proportion of admixture is then estimated as \( M = (P_M - P_H)/(P_A - P_H) \). The details of this method can be found in Cavalli-Sforza and Bodmer (1971).

**Relationship Matrix (R-Matrix).** The RFLP frequency data were analyzed with the \( R \)-matrix method to visualize the relationship of the Aleuts to other populations, which are listed in Table 1. A variance-covariance matrix of genetic similarity and dissimilarity between population subdivisions was constructed using ANTANA (Harpending and Rogers 1984), and the frequencies of alleles (mtDNA haplotypes) were plotted to examine their relative contributions to the population distributions. In addition, an \( R \)-matrix analysis based on frequencies of HVS-I sequence mutations was run for comparison. For this analysis, the following popu-
Table 1. Mitochondrial DNA Haplogroup Frequencies based on RFLPs

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<tr>
<th>Population</th>
<th>n</th>
<th>mtDNA*A</th>
<th>mtDNA*B</th>
<th>mtDNA*C</th>
<th>mtDNA*D</th>
<th>mtDNA*OT</th>
<th>Reference</th>
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<tbody>
<tr>
<td>Itel’men</td>
<td>47</td>
<td>6.4%</td>
<td>0%</td>
<td>14.9%</td>
<td>0%</td>
<td>78.7%</td>
<td>3</td>
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<tr>
<td>Koryak</td>
<td>155</td>
<td>5.2%</td>
<td>0%</td>
<td>36.1%</td>
<td>1.3%</td>
<td>57.4%</td>
<td>3</td>
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<tr>
<td>Chukchi</td>
<td>24</td>
<td>37.5%</td>
<td>0%</td>
<td>16.7%</td>
<td>16.7%</td>
<td>29.2%</td>
<td>6</td>
</tr>
<tr>
<td>Siberian Yupik</td>
<td>50</td>
<td>80.0%</td>
<td>0%</td>
<td>0%</td>
<td>20.0%</td>
<td>0%</td>
<td>6</td>
</tr>
<tr>
<td>Inuit</td>
<td>30</td>
<td>96.7%</td>
<td>0%</td>
<td>0%</td>
<td>3.3%</td>
<td>0%</td>
<td>1</td>
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<tr>
<td>Aleut</td>
<td>179</td>
<td>28.5%</td>
<td>0%</td>
<td>0%</td>
<td>71.5%</td>
<td>0%</td>
<td>This study</td>
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<tr>
<td>Aleut (Pribilof Island)</td>
<td>78</td>
<td>25.0%</td>
<td>0%</td>
<td>1.4%</td>
<td>66.7%</td>
<td>6.9%</td>
<td>2</td>
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<tr>
<td>Dogrib</td>
<td>42</td>
<td>100%</td>
<td>0%</td>
<td>0%</td>
<td>0%</td>
<td>0%</td>
<td>1,4</td>
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<tr>
<td>Haida</td>
<td>25</td>
<td>96.6%</td>
<td>0%</td>
<td>0%</td>
<td>3.4%</td>
<td>0%</td>
<td>1,5</td>
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<tr>
<td>Bella Coola</td>
<td>36</td>
<td>50.0%</td>
<td>5.6%</td>
<td>13.9%</td>
<td>25.0%</td>
<td>5.6%</td>
<td>1,5</td>
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<tr>
<td>Nuu-Chan-Nulth</td>
<td>15</td>
<td>40.0%</td>
<td>6.7%</td>
<td>13.3%</td>
<td>26.7%</td>
<td>13.3%</td>
<td>5</td>
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Median Network Analysis. Median network analysis was used to construct genealogies of HVS-I sequence data (Network 3.1, Fluxus Engineering) (Bandelt et al. 1995). This method is considered more suitable for analyzing nonrecombining data at the intraspecific level (such as human mtDNA sequences) than traditional phylogenetic methods. HVS-I sequence data were taken from the Aleuts described in this study and populations described in published studies, including the Itel’men and Koryaks (Schurr et al. 1999), Chukchi and Siberian Eskimos (Starikovskaya et al. 1998), Alaskan Athapaskans and Yakima (Shields et al. 1993), Haida and Bella Coola (Ward et al. 1993), West Greenland Eskimos (Saillard et al. 2000), and Nuu-Chah-Nulth (Ward et al. 1991). Because only Siberian populations were surveyed for high resolution–RFLP (HR-RFLP) analysis, these kinds of data were not used in these analyses. However, low resolution–RFLP (LR-RFLP) data, that is, those RFLPs that define haplogroups A-D and X, were used in some of the analyses to test their influence on the branching patterns of the networks.

When all of the published HVS-I sequences from circumpolar populations were used with this program, the resulting networks were large and replete. These results were likely attributable to several factors, including the large number of sequences present in the data matrix, the effects of recurrent mutations in
closely related sequences from the same haplogroup, problems with some of the
data sets (i.e., too many mutations at the ends of HVS-I sequences in the data sets
of Ward et al. [1991, 1993] and Shields et al. [1993], as per the comments of Saill-
lard et al. [2000]); and the recent expansion of haplogroups A and D, which pro-
duced a number of sequences only one or two mutational steps different from an-
cestral (nodal) types. For this reason, the Aleut data were compared with a
selection of northeastern Siberians and Native Americans to clarify the phylo-
genetic relationships among them. In addition, the mutations that defined the HVS-
I sequence motifs for the different haplogroups (e.g., 16223T, 16298C, and
16327T for haplogroup C) were given twice the statistical weight of the other
polymorphisms that appeared in these haplotypes. The networks obtained
through this weighting approach were relatively close in form to those obtained
without any character weighting, and essentially the same as those based on hap-
lotypes defined with both LR-RFLP and HVS-I sequence data.

For most of the analyses discussed in this paper, only Chukchi and Siberian
Yupik (Starikovskaya et al. 1998), Alaskan Yupik/Inupik (Shields et al. 1993),
Greenland Eskimos (Saillard et al. 2000), and Aleuts (this study) were used.
Overall, these samples were the best provenienced ethnographically, the largest in
number per population, the most thoroughly analyzed for sequence variation (by
both HVS-I sequencing and RFLP analysis), and the least problematic in terms of
potential errors in the HVS-I sequence data. In addition, they represented a broad
spectrum of circumpolar populations that were known to have biological and cul-
tural similarities, based on previous anthropological studies. However, for the
haplogroup D analysis, data from the Yakima (Shields et al. 1993), Haida and
Bella Coola (Ward et al. 1993), and Nuu-Chah-Nulth (Ward et al. 1991) were also
employed, since there are relatively few sequences from this haplogroup in cir-
cumarctic populations outside of the Aleuts.

Time Estimates. The coalescence dates for particular clusters of HVS-I se-
quences from haplogroups A and D were estimated using dating option of Net-
work 3.1 and an HVS-I mutation rate of 20,180 years per mutation (Forster et al.
1996; Saillard et al. 2000). These haplogroups were analyzed because of their
prevalence in circumarctic populations, as well as the limited number of hap-
logroup B, C, and X mtDNAs (and those seen in NE Siberians—G, Y, Z) in Aleuts
and related groups. The divergence of these two haplogroups is, therefore, viewed
as being most closely linked to the evolution of circumarctic populations. This
approach allowed the dating of the main points of divergence in these haplogroups
that potentially paralleled the emergence of major linguistic stocks or ethnic pop-
ulations (e.g., Eskimo-Aleuts).

Results

MtDNA Haplogroup Frequencies. The RFLP analysis of Aleut mtDNAs re-
vealed that they belonged to only two of the four haplogroups common among
Native Americans, A and D. Haplogroup A represented 28.5% of these mtDNAs, while haplogroup D constituted the rest. No nonnative mtDNA haplogroups were identified in this sample. These frequencies are similar to those observed in the St. Paul Aleuts (Merriwether et al. 1995), except for the presence of haplogroup C and ‘other’ haplotypes found in this population. Given that the St. Paul community was settled during historic times by not only Aleuts but also other Native Americans (including Eskimos and Athapaskan Indians) and nonnatives, it is likely that the mtDNAs not belonging to haplogroups A and D in the previous study are the result of admixture. This interpretation is supported by an ongoing genetic study of ancient Aleut samples, which show that only A and D haplotypes are present in them (Hayes 2002; Hayes and O’Rourke 2000; O’Rourke et al. 2000).

Nevertheless, to test this interpretation, we computed admixture levels in the St. Paul Aleuts based on the incidence of haplogroup C in this population. This estimate suggested that 10.5% of the St. Paul gene pool was attributable to gene flow from Athapaskan Indians, in which this haplogroup appears. However, these mtDNAs may have come from Amerindian populations, as we noted the intermarriage of Sioux and Athapaskan individuals among the Aleut families with whom we worked. Similarly, the presence of ‘other’ mtDNA haplogroups suggests that 0.07% of the mtDNAs in St. Paul Aleuts came from European females (assuming that ‘other’ represents European gene flow into the community). Thus, a small but nontrivial proportion of St. Paul Aleut mtDNAs appears to be non-Aleut in origin. These findings highlight the importance of collecting accurate genetic data when conducting molecular anthropological studies.

Table 1 compares the Aleut mtDNA haplogroup frequencies with those of nine other circumpolar groups, including five Native American populations (Alaskan Inupik, Dogrib, Haida, Bella Coola, and Nuu-Chah-Nulth) and four Siberian populations (Itel’men, Koryaks, Chukchi, and Siberian Yupik). Population locations for these and other groups mentioned in the text are given in Figure 2. The Aleuts differed from all of the other populations in that they exhibited a high frequency of haplogroup D. By contrast, haplogroup A, the most prevalent mtDNA lineage in circumpolar populations, was present only in moderate frequencies in the Aleuts. While haplogroups B and C were found in a few circumpolar populations, they generally exist only at low frequencies (with the exception of haplogroup C in the Koryaks, Itel’men, and Chukchi). This low frequency raises the possibility that admixture was responsible for their presence in these populations.

HVS-I Sequencing. The results of the HVS-I sequencing of 163 individuals are listed in Table 2. Overall, the Aleuts had 26 different HVS-I haplotypes characterized by 23 variable sites. Haplotype AL20 (belonging to haplogroup D) was the most common lineage, being present in 79 individuals, followed by AL01 (belonging to haplogroup A) in 24 individuals. The majority of the mutations were transitions, and only two transversions were present. The 16362T→C (16362C)
### Table 2. Aleut HVS-I Sequences

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**Haplogroup A**

**Haplogroup D**

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transition was present in all Aleut haplotypes regardless of whether they belonged to haplogroup A or D.

All of the Aleut A haplotypes had the np 16111C→T (16111T) transition, which is characteristic of most Native American and Chukotkan (Chukchi and Siberian Eskimo) and a few Kamchatkan (Koryak and Itel’men) mtDNAs, but not of other Siberian and Asian haplotypes from this lineage (Schurr et al. 1999; Schurr and Wallace 1999; Starikovskaya et al. 1998; Torroni et al. 1993b). This mutation is part of the sequence motif (16111T-16223T-16290T-16319A-16362C) that defines the A2 subtype of Forster et al. (1996). Most of the A haplotypes in this sample also had the Beringian-specific 16192C→T (16192T) transition (Schurr et al. 1999), a mutation that helps to define the sequence motif of the A3 subtype (16111T-16192T-16223T-16290T-16319A-16362). The majority of Aleut A HVS-I sequences derive from the A3 subgroup. Furthermore, several Aleut A haplotypes were characterized by 16212A→G (16212G) and 16234C→T (16234T) transitions, both of which appear to be Aleut-specific. However, none of them exhibited the Eskimo-specific A4 subtype defined by np 16265A→G (16265G) transition (Shields et al. 1993; Starikovskaya et al. 1998; Saillard et al. 2000), or the Athapaskan-specific 16331A→G (16331G) transition (Shields et al. 1993; Torroni et al. 1993a; Starikovskaya et al. 1998).

Aleut D sequences all had np 16129G→A (16129A) and np 16271T→C (16271C) transitions. Most of these belonged to the D2 subtype described by Forster et al. (1996), which is defined by the 16223T-16271C-16362C motif. The D2 subtype has previously been described in the Chukchi and Siberian Eskimos (Starikovskaya et al. 1998), as well as one Alaskan Athapaskan Indian (Shields et al. 1993), but is absent from Greenland Eskimos and Kamchatkan populations (Saillard et al. 2000; Schurr et al. 1999). Based on the HR-RFLP data from the Chukchi and Siberian Eskimos (Chukotkan haplotypes, SIB48-52; Starikovskaya et al. 1998), as well as the whole mtDNA genome sequence data of Derbeneva et al. (2002), we predict that all of the Aleut D2 mtDNAs will also have the HaeIII 3315 site loss and AluI 8683 site gain.

The Aleuts shared several HVS-I haplotypes with other populations (Table 3). In Table 3, the sequences with the abbreviation ‘CIR’ (Circumarctic) come from Ward et al. (1991, 1993) and Shields et al. (1993), and their numbers correspond to the identifications given in those papers. Those having a “CHU” (Chukotkan) abbreviation come from Starikovskaya et al. (1998) for Chukchi and Siberian Eskimos, and correspond to the numbering given in Table 4 of that paper. Aleut sequences were assigned an “AL” abbreviation.

Haplotypes AL04 and AL14 correspond to haplotypes CIR61 and CIR60, respectively, which are frequent in circumarctic populations. In addition, AL13 corresponds to CIR11, which is the most prevalent HVS-I sequence in Native American populations and represents the founder haplotypes for haplogroup A (haplotype A2). AL20, which accounts for the majority of individuals in this sample, corresponds to haplotype CHU14, also present in the Chukchi and Siberian...
Table 3. HVS-I Sequences Shared between North Pacific Rim Populations

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a. Also known as Alaskan Inupik.

References: (1) Starikovskaya et al. 1998; (2) Shields et al. 1993; (3) Saillard et al. 2000; (4) This study; (5) Torroni et al. 1993a; (6) Ward et al. 1993; (7) Ward et al. 1991.
Table 4.  Estimated Ages of Ancestral and Founder Nodes in Aleuts and Other Beringian Populations

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<td>Aleut</td>
<td>HVR1</td>
<td>9</td>
<td>13.9</td>
<td>1.54</td>
<td>0.20 ± 0.12</td>
<td>3953 ± 2417</td>
<td>This study</td>
</tr>
<tr>
<td>A</td>
<td>16265G</td>
<td>Eskimo</td>
<td>HVR1</td>
<td>73</td>
<td>32.1</td>
<td>0.44</td>
<td>0.15 ± 0.07</td>
<td>3000 ± 1400</td>
<td>Saillard et al. 2000</td>
</tr>
<tr>
<td>A</td>
<td>16265G</td>
<td>Eskimo</td>
<td>HVR1</td>
<td>78</td>
<td>13.9</td>
<td>0.18</td>
<td>0.20 ± 0.12</td>
<td>4,398 ± 1574</td>
<td>This study</td>
</tr>
<tr>
<td>D</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>D</td>
<td>16271C</td>
<td>D1</td>
<td>HVR1</td>
<td>28</td>
<td>6.0</td>
<td>0.16</td>
<td>1.82 ± 0.55</td>
<td>36,643 ± 11,050</td>
<td>This study</td>
</tr>
<tr>
<td>D</td>
<td>16271C</td>
<td>D2</td>
<td>HVR1</td>
<td>130</td>
<td>10.3</td>
<td>0.72</td>
<td>0.30 ± 0.14</td>
<td>6035 ± 2885</td>
<td>This study</td>
</tr>
</tbody>
</table>

Note: As in Forster et al. (1996), \( \rho \) (rho) = 1 corresponds to 20,180 years.

a. The age of the 16234T cluster in Aleuts had to be estimated using the A2 haplotype as its root; using only the haplotypes with the 16234 mutation gave an age for this cluster of over 22,000 years, a value that is clearly not consistent with other data for the Aleuts or other Beringian populations.
Eskimos (Starikovskaya et al. 1998). As noted above, the Aleuts lacked CIR57 sequences (CHU07), which represent the Eskimo-specific sublineage from haplogroup A defined by the 16265G mutation and the AluI 11362 site loss (Starikovskaya et al. 1998; Saillard et al. 2000).

**R-Matrix Analysis.** The R-matrix analysis based on mtDNA RFLP frequencies shows that the Aleuts are distinct from other populations because of their high frequency of mtDNA haplogroup D (Figures 3 and 4). By contrast, haplogroup D is present at low frequencies in other North American and Northeast Siberian populations, North American populations, including the Dogrib, Inuit, and Haida, clustered together because of their high frequencies of haplogroup A. Figure 5 plots the populations included in the R-matrix analysis based on frequencies of mtDNA HVS-I sequences. In this plot, the Aleuts cluster with Eskimo populations (including the West Greenland Eskimos and the Siberian Yupik) and the Chukchi, because of sharing specific HVS-I haplotypes with them, as also indicated in Table 3.

**Median Network Analysis.** The median network analysis of HVS-I sequences from the Aleuts and other circumpolar populations revealed a pattern of haplotypic relationships that was consistent with previous studies, but also added
Figure 4. Plot of alleles (mtDNA haplotypes) for Figure 3.

Figure 5. R-matrix plot of populations based on frequencies of mtDNA control region mutations. The first three eigenvectors account for 43.7% of the overall variation: E1 = eigenvector 1 (15.4%); E2 = eigenvector 2 (14.5%); E3 = eigenvector 3 (13.8%). Populations included are: AB = Alaskan Athapaskan; AL = Aleut; BC = Bella Coola; CC = Chukchi; ES = West Greenland Eskimo; HD = Haida; IT = Itel'men; KR = Koryak; NC = Nuni-Chah-Nulth; YK = Yakima; YP = Siberian Yupik (Siberian Eskimo).
Figure 6. Haplogroup A median network. The HVS-I sequences used in this analysis are from Aleuts (this study), Chukchi and Siberian Yupik (Siberian Eskimo) (Starikovskaya et al. 1998), Greenland Eskimos (Saillard et al. 2000), Inuit/Inupiaq (Shields et al. 1993), and Koryaks and Itel'men (Schurr et al. 1999). The size of each node or circle in the network corresponds to the number of sequences represented by each one. The numbering of the individual sequences follows from the nomenclature provided in the original papers, with the population designations being: AL = Aleuts, CHU = Chukotkan, KAM = Kamchatkan, GESK = Greenland Eskimo, INT = Inuit, and INP = Inupiaq. The sequences shared by more than one population (SH) are indicated by the nodes with numbers inside them. Shared sequences 01 and 02 correspond to the subtypes A2 and A3, which are central to the diversification of this haplogroup. The sequence numbers and number of mtDNAs belonging to them are as follows: SH01 = GESK01 (19); CHU01 (56); AL07 (4); KAM01 (2) [same as CIR61]; SH02 = CHU07 (3); AL12 (1); SH03 = CHU08 (25); GESK07 (35) [same as CIR57]; SH04 = GESK04 (8); AL19 (1); CHU03 (9) [same as CIR69]; SH05 = CHU04 (1); GESK02 (10); SH06 = GESK05 (1); AL13 (1); SH07 = CHU05 (1); AL16 (3).
new details to this growing picture of genetic diversity. To begin with, the haplogroup A network was built from four nodal haplotypes, Shared 01-03 and AL03 (Figure 6). Shared 01 and 02 correspond to haplotypes A1 and A2 subtypes, respectively (Forster et al. 1996), and Shared 03 is the founding haplotype for the 16265G Eskimo sublineage. In a comparable way, AL03 is the nodal haplotype for the Aleut-specific 16212 sublineage of haplogroup A. All other haplotypes derive from one of these four central nodes. Among these is another Aleut-specific branch or sublineage of haplogroup A defined by the 16234T mutation, which extends towards the top of this network. Not surprisingly, there were an additional four haplotypes (SH04-07) shared by two or more circumarctic populations. Some of these shared haplotypes occurred in geographically distant groups, suggesting the possibility of recurrent mutations creating apparently identical haplotypes.

The haplogroup D network showed a comparable structure to that of haplogroup A, but with fewer major nodes (Figure 7). The two main haplotypes, Shared 01 and 02, correspond to subtypes D1 and D2, respectively, of Forster et al. (1996). Shared 03 is equivalent to the rare but unusual D3 haplotype (a.k.a., SIB40; Starikovskaya et al. 1998) that appears in the Koryaks (Schurr et al. 1999), Chukchi and Siberian Eskimos (Starikovskaya et al. 1998), and Japanese (Horai et al. 1996). Otherwise, the remaining D haplotypes were unique to the population in which they appeared. As is evident from this network, D2 haplotypes occur primarily in Aleuts, with a few also being observed in the Chukchi and Siberian Eskimos, but none of these haplotypes have yet been seen in Amerindians. Interestingly, there was no overlap in the D2 haplotypes seen in Aleuts and Chukchi/Siberian Eskimos. This finding suggests that the two subbranches split some time ago, and/or the Chukotkan branch represents back flow from ancient Aleuts. Conversely, nearly all of the D1 haplotypes appeared in Amerindians and Athapaskans, with only a few of these haplotypes being present in Aleuts. Overall, the D1 cluster was more diverse than the D2 cluster, but the D2 cluster showed a few novel haplotypes.

**Time Estimates.** The estimated ages of specific clusters of HVS-I sequences are presented in Table 4. For haplogroup A, the nodes defined by the following mutations were dated: 16111T (A2 Native Americans); 16192T (A3 Beringian populations); 16212G and 16234T (Aleuts); 16265G (Eskimos); and 16331G (Athapaskans). For haplogroup D, the nodes dated included D1 and D2.

The age of the A1 cluster obtained in this study (29,964 ± 13,350 years) was ancient, and slightly older than the age provided by Saillard et al. (2000) (24,800 ± 14,500 years). However, both ages were essentially the same as those obtained with RFLP data from haplogroup A mtDNAs in Native American populations (Torrioni et al. 1992, 1993a; Starikovskaya et al. 1998). The same is also true for the D1 cluster, which represents all haplogroup D mtDNAs in the Americas, as it was dated to 36,643 ± 11,050 years. These results, along with those of other recent studies (Silva et al. 2002), continue to point to the antiquity of these mtDNA
Figure 7. Haplogroup D median network. The HVS-I sequences included in this analysis are from Aleuts (this study), Chukchi and Siberian Yupik (Siberian Eskimos) (Shields et al. 1993; Starikovskaya et al. 1998), Yakima (Shields et al. 1993); Nuu-Chah-Nulth (Ward et al. 1991), and Kamchatkan (Schurr et al. 1999). The size of each node or circle corresponds to the number of sequences represented by each one. The numbering of the individual sequences follows from the nomenclature provided in the original papers, with the population designations being: AL = Aleuts, CHU = Chukotkan, KAM = Kamchatkan, GESK = Greenland Eskimo, SESK = Siberian Eskimos; NCN = Nuu-Chah-Nulth; and YAK = Yakima. The Shared (SH) sequences are indicated by the nodes with numbers inside of them. Shared sequences 01 and 02 correspond to the subtypes D1 and D2 of Forster et al. (1996), which are central to the diversification of this haplogroup. The sequence numbers and number of mtDNAs belonging to them are as follows: SH01 = NCN (3) and YAK (2); SH02 = CHU14 (12), AL21 (79), and SESK81 (1); SH03 = KAM24 (2) and CHU13 (5).
lineages in the New World, as demarcated by the 16111T for haplogroup A, although Silva et al. (2002) have recently revised their entry dates to between 19,000–15,000 YBP.

The estimated age of the Beringian A (16192T) cluster in this study (13,117 ± 4,982 years) was twice as old as that estimated by Saillard et al. (2000) (6300 ± 3900 years). This difference probably reflects the inclusion of numerous Aleut mtDNAs from this cluster, as well as similar Eskimo types from Shields et al. (1993), in this analysis. Given the broad range of populations represented by this estimate, it seems reasonable to view this date as an approximate time depth for the emergence of the Beringian populations that were ancestral to Aleuts, Eskimos, and Athapaskan Indians.

The Aleut-specific 16212G cluster from haplogroup A was also rather ancient, having arisen around 6540 years ago. Intriguingly, the 16212G cluster was about the same age as the D2 cluster (6035 ± 2885 years) in this population. The concordance of the dates for these two sublineages in haplogroups A and D may thus signify the emergence of the Aleuts as a distinct population that had separated from the Eskimos. The Eskimos own emergence may have occurred around 4400 years ago, based on the diversity present in the Eskimo-specific 16265G sublineage of haplogroup A. In this regard, the addition of Yupik and Inupik HVS-I sequences to those of the Greenland Eskimos of Saillard et al. (2000) increases the age of this cluster by nearly 50%. Furthermore, the age of the Aleut 16234T cluster of haplogroup A was estimated (using the A2 haplotype as its root) to be 3953 ± 2417 years old. Interestingly, this date coincides with the timing of Laughlin's proposed expansion of so-called “Neo-Aleuts” (Laughlin 1980) (see below).

In addition, the age of the Na-Dene-specific A 16331G sublineage was estimated at 3950 ± 2417 years. This date was generated from relatively few HVS-I sequences identified in Athapaskan groups by Torroni et al. (1993a) and Shields et al. (1993) in an expanded version of the data set used to generate the reduced median joining network shown in Figure 6. As such, it must be viewed as a preliminary estimate. However, if correct, it may indicate the slightly later emergence of Athapaskan populations relative to Aleuts and Eskimos.

Discussion

This study has confirmed the distinctiveness of the Aleuts relative to other Native American and circumpolar populations. Their mtDNA gene pool consists of only haplogroups A and D, with the latter constituting over 70% of these haplotypes. While these two haplogroups predominate within other circumpolar populations, haplogroup A represents the majority of all of their mtDNAs. Beringian populations, including the Eskimos, Na-Dene Indians, and northeastern Siberians, also share a number of HVS-I haplotypes from haplogroups A and D. By comparison, the Aleuts share fewer haplotypes with these groups, and primarily
with the Chukchi and Siberian Eskimos. These affinities are also seen in the
$R$-matrix analysis, with Aleuts showing closer relationships to Chukotkan popula-
tions than to others on the Alaskan side of the Bering Strait.

Genetically, the Aleuts show little in common with Kamchatkan popula-
tions, the Koryaks and Itel' men. Kamchatkan groups have few haplogroup $A$ and
$D$ mtDNAs, and exhibited mostly haplogroup $C$, $G$, and $Y$ haplotypes (Schurr
et al. 1999), which do not appear in Aleuts. In fact, contemporary Koryaks and
Itel'men show stronger genetic affinities with eastern Siberian and Amur River
populations than to those of the North Pacific Rim (Schurr et al. 1999). This pat-
ttern likely reflects the relatively recent immigration to Kamchatka of new tribes
from the Siberian mainland region (~6000–8000 YBP), although genetic contribu-
tions from ancient Beringian populations may be evident in the Koryak and
Itel'men gene pools.

The genetic data from Koryak and Itel'men populations are generally con-
sistent with the archeological evidence of the Kamchatka peninsula. This region
was first colonized approximately 14,000 years ago by a culture subsisting on big
game hunting and salmon fishing, while employing bifacial projectile points simi-
lar to the Late Paleolithic Paleo-Indian stemmed projectile point industry of
western North America (Arutunov 1988; Arutunov and Sergeev 1990a; Dikov
1990, 1994). By 10,860 YBP, this culture was superseded by a technology using
bifacially retouched leaf-shaped microblades and having stone labret ornamenta-
tion. These innovations, along with the development of nontoggling, multibarbed
harpoon technologies for hunting sea mammals demarcate the beginnings of the
proto-Eskimo-Aleut cultural tradition in northeast Siberia (Arutunov and
Sergeev 1990a, b; Dikov 1990). As a result, the emergence of the Paleoarctic cul-
tural tradition in northeastern Siberia during the Holocene is probably linked with
the expansion of ancient Beringian peoples into the Aleutian Islands, which,
based on archeological data from the eastern Aleutians, dates to around 8500 BP
at Anangula and Hog Island (Laughlin 1980; Knecht and Davis 2001). Judging
from these genetic and archeological data, ancestral Aleuts crossed the Bering
Land Bridge to enter the eastern Aleutians, rather than island hopping from the
Kamchatka Peninsula into the western Aleutians.

The colonization dates for the Aleutian Islands are somewhat older than the
coevolution times for the Aleut-specific 16212G cluster from haplogroup $A$ (6539
$\pm$ 3511 years) and the $D2$ cluster (6035 $\pm$ 2885 years) in this population. How-
ever, if the standard errors associated with these estimates are taken into considera-
tion, the coalescence dates are congruent with the archeological data. As such,
they appear to demarcate the emergence of the Aleuts as a population distinct
from other ethnic groups taking form in the Beringian region. Additional support
for this interpretation comes from ancient DNA studies of prehistoric Aleut popu-
lations dating to 3000–4000 years ago, which show nearly identical frequencies
of haplogroups $A$ and $D$ as their modern antecedents (Hayes 2002; Hayes and
O'Rourke 2000; O'Rourke et al. 2002). Furthermore, some reduction in genetic
diversity must have resulted from the dramatic demographic impact of Russian
colonization of Aleut populations. Together, these lines of evidence imply that the coalescence estimates can be considered minimum time depths for these mtDNA sublineages, hence, the emergence of the Aleuts as a distinct population.

The coalescence dates of the Aleut 16212G and \( D2 \) clusters also suggest that Aleuts split from related proto-Eskimo groups before or around this same time period. If correct, then the timing of this bifurcation is generally compatible with dates estimated for the same separation based on linguistic and classical blood group marker data (Harper 1980; Woodbury 1984). In addition, the Aleut 16212G sublineage of haplogroup \( A \) is not present in Eskimo populations, and \( D2 \) haplotypes are present only in Chukchi and Siberian Eskimos (and one Alaskan Athapaskan), and at low frequencies. Moreover, all Eskimoan groups (Yupik and Inupik) possess the 16265G sublineage of haplogroup \( A \), which is dated at 4398 ± 1574 years, whereas the Aleuts lack these haplotypes altogether. Thus, it appears that Eskimoan populations became genetically distinctive as an ethnic group somewhat later than the Aleuts, but also shortly before the Na-Dene Indians, whose own 16331G sublineage of haplogroup \( A \) dates to approximately 3950 ± 2417 years.

These new mtDNA data also allow us to test different models for transitions in morphological characteristics that have been identified in ancient Aleut populations. As first noted by Hrdlicka (1945), two distinct cranial morphologies are present in the Aleut skeletal remains of the eastern Aleutians. According to Laughlin (1980), the early inhabitants of the Aleutian Islands, the “Paleo-Aleuts,” had dolichocephalic crania, and these individuals evolved into the “Neo-Aleuts,” who had brachycephalic crania. This change supposedly first took place among the residents of the eastern Aleutians around 4000 years ago, and spread into the smaller communities of the central and western islands (Laughlin 1980). This time period fits nicely with the age of the Aleut-specific 16234T cluster of haplogroup \( A \), which is 3953 ± 2417 years. However, the most recent \( C^{14} \) dating of this cranial series suggests there is no temporal distinction between Paleo-Aleuts and Neo-Aleuts (Hayes and O’Rourke, personal communication). Thus, there may not have been such a distinct morphological transition as previously thought, and this particular mtDNA cluster may simply be a unique branch of haplogroup \( A \) that arose in Aleuts some 4000 years ago, perhaps because of the founder effect and geographic isolation.

These observations provide a reference point from which to evaluate other genetic data obtained from Aleut populations. Recently, Derbeneva et al. (2002) published complete mtDNA sequences of a small sample from the terminus of the Aleutian Islands, the village of Nikolskoye, Bering Island. They sampled only 30 individuals, with approximately half (15) of them being born on Bering and the remainder having been relocated to this community in the 1960s from Mednny Island, the second of the Commander Islands. These two islands were uninhabited until 1842, when Russians transplanted Aleuts from Atka, Attu, and Unalaska, together with native and Siberian Russians, gypsies, and Native Americans. Similar to Mednny and Bering, the Pribilof Islands (St. Paul and St. George) also con-
tained no Aleut settlements until Russian colonization. However, because they were breeding grounds for fur-bearing seals, both pairs of islands became sites of massive fur harvests, hence, human settlements.

This complex population history is reflected in the mtDNA studies of Aleut populations. Both Merriwether et al. (1995) and we (this paper) detected haplogroups A and D in the contemporary populations of the Aleutian Islands (with larger sample sizes of 76 and 179, respectively). By contrast, Derbeneva et al. (2002) described a monomorphic sample, with only haplogroup D being present in the Aleuts of Bering Island. They attributed the 'loss' of haplogroup A on Bering and Mednyi to genetic drift, "because of the genocide of the natives at the end of the 18th century" (Derbeneva et al. 2002:420). However, as noted above, Bering was not settled until 1842, and both haplogroups A and D are known to be present in the parental populations of Unalaska and Atka (Rubicz et al. 2001). Therefore, the likelihood that this loss of mtDNA lineage in a population of more than 300 individuals was due to genetic drift is extremely low.

We statistically tested the hypothesis that genetic drift caused the loss of haplogroup A from the Bering Aleuts. Without the intervention of selection against the A haplogroup, the variance due to stochastic processes can be estimated by \( \sigma^2_X = q(1 - q)/2N_e \), with \( q = 0.29 \) and \( N_e \) being estimated as 0.3 of the total population size. Thus, in a population of 300 individuals, the variance will fluctuate \( \sigma^2_X = \pm 0.001 \) generation or roughly 0.006 in six generations. Consequently, the most parsimonious explanation for the absence of haplogroup A in Bering Aleuts is that most of the 30 individuals sampled by Derbeneva et al. (2002) are closely related.

This interpretation is consistent with the history of the Commander Islands. When Russian authorities closed the Mednyi community, many Aleuts with familial connections were relocated to Bering Island, while the remainder moved to Kamchatka. In this regard, our research team visited Bering Island during the same year as Derbeneva et al. (2002) and took blood specimens from ~100 individuals, including the same persons that they had analyzed. As a result, we should be able to test whether the fixation of haplogroup D in the Bering Aleuts is due to genetic drift. In addition to the family histories that we collected from these persons, we are currently analyzing the extensive genealogies from both Commander Islands that trace familial relations to the founders of 1842. These were collected by the eminent Russian population geneticist, Yuri G. Rychkov, in the early 1960s. Overall, these findings reiterate the importance of collecting extensive genealogies in field populations.

Peopling of the Americas. While there is general agreement that ancestral New World populations originated in Asia and entered the Americas by way of the Bering Land Bridge, the specific Asian source or sources, the number of migrations involved, and the timing of these events remain a matter of controversy. Greenberg et al. (1986) compared genetic (classic blood group markers), linguistic, and dental evidence in Native American populations, and, on this basis, pro-
posed a so-called "new synthesis," in which the New World was colonized through three migrations represented by the Amerind, Na-Dene, and Eskimo language families. All subsequent studies focusing on mtDNA variation in Native Americans have attempted to confirm or refute its predictions of population origins and affinities (Torroni et al. 1992, 1993a, b; Horai et al. 1993; Lorenz and Smith 1996; Merriwether et al. 1995; Forster et al. 1996; Bonatto and Salzano 1997).

A common finding of all these studies is that haplogroups A-D constitute the vast majority of mtDNAs in Native American populations. In addition, some have revealed that a small percentage of mtDNAs from North American populations belong to haplogroup X (Torroni et al. 1992; Forster et al. 1996; Brown et al. 1998). Most of them favor a model of New World colonization in which one to two major expansions of ancient Asian peoples gave rise to Amerindian populations, and generally indicate that this colonization was early (pre-15,000 YBP). The most recent attempt to quantify the number of migrations to the New World suggests that a single, early migration (15,000–20,000 years ago) gave rise to all Native American populations (Silva et al. 2002). This date is consistent with those dates obtained in this study for the A2 and D1 clusters, 29,964 ± 13,350 and 36,643 ± 11,050 years old, respectively, which represent two founder mtDNA lineages for Native Americans. However, these findings still do not fully resolve the issue of the origins of Beringian populations.

Several studies, including this one, have indicated that Beringian populations (Aleuts, Eskimos, and Athapaskans) emerged after the initial peopling of the Americas at approximately 14,000–12,000 years ago. This expansion is demarcated by the presence of A3 (16192T) and D2 haplotypes in these populations, as well as a number of population specific sublineages of haplogroup A in Aleuts (16212G, 16234C), Eskimos (16265G), and Athapaskan Indians (16331G). These sublineages show genealogical links to A and D haplotypes present in Amerindians, but are not found in these populations. In addition, all Beringian populations are nearly devoid of haplogroup C and D mtDNAs, and lack haplogroup X mtDNAs altogether. Thus, it would appear that the ancestors of Beringian populations possessed a subset of the haplotypes that were present in ancestral Amerindian populations, and hence may have arisen independently of them.

An alternative explanation for this pattern of genetic diversity is that ancestral Beringian populations evolved from Amerindian populations inhabiting North America during the last glacial maximum. Once the northern reaches of this continent became inhabitable after the glacial ice sheets receded, the Beringians then expanded northward, and, in the process, moved into the remaining portions of the former Beringian platform, including the northeastern corner of Siberia. In fact, this scenario is essentially the same as the "East Americanoid" theory put forward by Franz Boas in the early part of the 20th century (Bogoras 1902; Jochelson 1908; Boas 1912, 1928). In this model, the back-migration of American cultures from east to west across the North Pacific produced the Chukchi, Koryak, Kamchadal, Yukagir, and Nivkhs (Boas 1905). The peoples on
either side of the North Pacific were then separated at the Bering Strait by the intrusion of the Eskimos, a people who were culturally and morphologically distinct and purportedly originated in Central Canada (Boas 1905, 1912).

However, modern genetic data refute certain aspects of this particular model. For example, as this study has shown, the Aleuts have some genetic affinities with Chukchi and Siberian Eskimo populations, but virtually none with Kamchatkan populations, or Yukagirs. In addition, Amur River populations such as the Nivkhs are genetically distinctive from northeastern Siberian populations (Torr one et al. 1993b; Lell et al. 1997, 2002; Schurr et al. 2000). Furthermore, Eskimo populations also show stronger affinities with other Beringian groups than with Amerindian populations, and do not appear to have originated in Central Canada. Finally, the A3, A4, and D2 haplotypes present in Beringian populations do not occur in their putative progenitor populations, Amerindians. Therefore, Beringian populations seem to represent a post-glacial expansion distinct from the one giving rise to Amerindian populations, but one having genetic connections to the earlier immigrants to the New World.

Overall, Aleut mtDNAs are most similar to those of Northeastern Siberian and North American populations, which differ from those present among Amerindians and Asians outside of northeastern Siberia. These Beringian populations, including the Eskimos, Na-Dene Indians, and coastal Siberians, share HVS-I haplotypes and mtDNA haplogroups A and D. If haplogroups B and C in these populations are the result of recent admixture, it provides evidence against a single migration of people from Asia to the Americas with all four haplogroups. Instead, this suggests that there were at least two migrations. The first migration, consisting of the ancestors of the Amerindians, may have taken place some time around 30,000–25,000 years ago. The Beringian groups would have emerged later, around 13,000 years ago, some of which may have migrated from Siberia to the Americas around this time. The Aleuts appear to have differentiated from other Beringian populations more than 6000 years ago, with their closest relatives being the Siberian Eskimos and Chukchi, rather than New World Eskimos and Na-Dene Indian populations. Aleuts are not closely related to the populations of the Kamchatka Peninsula (the Koryaks and Itel'men), which supports Laughlin's hypothesis that they crossed the Bering Land Bridge and entered the eastern Aleutians, rather than island hopping from the Kamchatka Peninsula into the western Aleutians. The results of this study indicate that the Aleuts represent one of the last human expansions into the New World.

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